

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Poset reconstruction problem

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Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Outline

## 1 Object: poset

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Outline

- 1 Object: poset
- 2 Morphism: monotone function

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Outline

- 1 Object: poset
- 2 Morphism: monotone function
- 3 Poset reconstruction problem

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Outline

- 1 Object: poset
- 2 Morphism: monotone function
- 3 Poset reconstruction problem
- 4 Conclusion

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Introduction

I work

① as an Assistant Professor in NIE,

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Introduction

I work

- 1 as an Assistant Professor in NIE,
- 2 as an academia in the mathematics academic group, and

# Introduction

I work

- ① as an Assistant Professor in NIE,
- ② as an academia in the mathematics academic group, and
- ③ in areas like general topology, order theory, domain theory, algebra, programming semantics and so on.



Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

Today's talk is about an **open** problem in order theory, which I am quite interested in.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

Many people have heard about

**Chaos Theory.**

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

Many people have heard about

**Chaos Theory.**

But few have heard about

**Order Theory.**

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

## Highlights

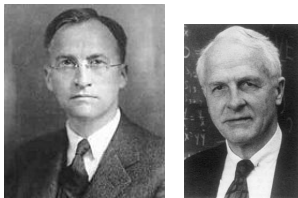


Figure: The Birkhoffs

Chaos Theory in 1927 – George David Birkhoff's “3-Body Problem”.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights



Figure: The Birkhoffs

Chaos Theory in 1927 – George David Birkhoff's “3-Body Problem”.  
Order theory in 1948 – Garrett Birkhoff's “Lattice Theory”.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

Before describing the problem, we need to build up some **vocabulary** of order theory.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

We shall touch on

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

We shall touch on

- 1 Partial orders



Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

We shall touch on

- 1 Partial orders
- 2 Sups and infs

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

We shall touch on

- 1 Partial orders
- 2 Sups and infs
- 3 Lattices, complete lattices and dcpo's

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Highlights

We shall touch on

- 1 Partial orders
- 2 Sups and infs
- 3 Lattices, complete lattices and dcpos

before we explain the reconstruction problem.

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Order everywhere

In everyday life, we see many instances of comparison:

- ranking based on results

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

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Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

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- comparing ages
- determining seniority in a family

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

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- choosing schools based on proximity

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

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Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

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Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

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- lexicographical order in dictionary
- system of reporting officers in a department

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Order everywhere

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Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Order everywhere

In mathematics, we see the ability to compare in:

- Set inclusion:  $A \subseteq B$ .

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Order everywhere

In mathematics, we see the ability to compare in:

- Set inclusion:  $A \subseteq B$ .
- Size of real numbers:  $e \leq \pi$ ,  $\sum_{k=1}^{\infty} \frac{1}{k^2} \leq 2$ .

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

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- Set inclusion:  $A \subseteq B$ .
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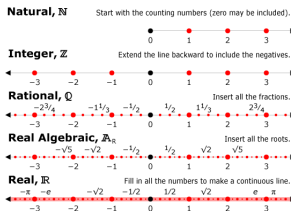
- Set inclusion:  $A \subseteq B$ .
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- Comparison of functions:  $f \leq g$ .

We see more later ...

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Natural ordering on the real line

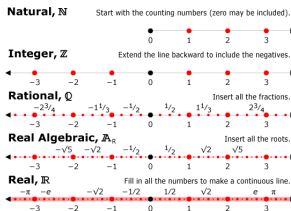




Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Natural ordering on the real line



The most basic comparison of numbers can be done on  $\mathbb{R}$  with the

$\leq$  : less than or equal.

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Natural ordering on the real line

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Natural ordering on the real line

### Pop-quiz 1 (1 min)

Without the use of calculators, fill in the box with the correct inequality sign ( $\leq$  or  $\geq$ ):

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Natural ordering on the real line

### Pop-quiz 1 (1 min)

Without the use of calculators, fill in the box with the correct inequality sign ( $\leq$  or  $\geq$ ):

$$e^{\pi} \square \pi^e.$$

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Natural ordering on the real line

The  $\leq$  relation satisfies the following three natural conditions:

- $a \leq a$  for every real number  $a$ .

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- If  $a \leq b$  and  $b \leq a$ , then

$$a = b.$$

## Natural ordering on the real line

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- $a \leq a$  for every real number  $a$ .
- If  $a \leq b$  and  $b \leq a$ , then

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- If  $a \leq b$  and  $b \leq c$ , then

$$a \leq c.$$

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

## Subset inclusion

Another relation which displays similar properties is the subset inclusion relation on sets:

$$A \subseteq B.$$



Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Subset inclusion

Another relation which displays similar properties is the subset inclusion relation on sets:

$$A \subseteq B.$$

### Exercise

Which are the three corresponding properties enjoyed by  $\subseteq$ ?

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Partial order

We can abstract ourselves from the above motivating examples by giving the definition of a *partially ordered set* (a.k.a. *poset*, for short).

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Partial order

We can abstract ourselves from the above motivating examples by giving the definition of a *partially ordered set* (a.k.a. *poset*, for short).

## Why?

This abstraction allows us to crystallize the essential bits of the relevant information without losing too many details.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Partial order

## Definition

A *partial order* is a relation  $\leq$  on a set  $P$  which is

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Partial order

## Definition

A *partial order* is a relation  $\leq$  on a set  $P$  which is

- 1 reflexive,

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Partial order

## Definition

A *partial order* is a relation  $\leq$  on a set  $P$  which is

- ① reflexive,
- ② antisymmetric and

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Partial order

## Definition

A *partial order* is a relation  $\leq$  on a set  $P$  which is

- 1 reflexive,
- 2 antisymmetric and
- 3 transitive.

We say that  $(P, \leq)$  is a *partially ordered set* or a *poset*, for short.

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Reflexive

For any element  $x \in P$ , we have

$$x \leq x.$$



Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Antisymmetric

If  $x \leq y$  and  $y \leq x$  in  $P$ , then

$$x = y.$$

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
**Partial order as a relation**  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Transitive

If  $x \leq y$  and  $y \leq z$  in  $P$ , then

$$x \leq z.$$

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

## Examples

### Set theory

Let  $X$  be a set. Then the powerset  $\mathcal{P}(X)$ , the set of all subsets of  $X$ , is partially ordered by the subset inclusion  $\subseteq$ .

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Examples

### Number theory

Let  $\mathbb{Z}$  be the set of integers. We define the relation  $|$  on  $\mathbb{Z}$  as follows:

$$a | b \iff b \text{ is an integer multiple of } a.$$

Then  $(\mathbb{Z}, |)$  is a poset.

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

## Examples

### Biology

Let  $S$  be a species of animals. Define the relation  $\prec$  on  $S$  as follows:

$$x \prec y \iff \text{either } x = y \text{ or } x \text{ is an offspring of } y.$$

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

## Examples

Recall that a partition of an interval  $[a, b]$  is a finite subset  $\{a_i\}_{i=0}^n$  of it with

$$a = a_0 < a_1 < a_2 < \cdots < a_n = b.$$

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Examples

Recall that a partition of an interval  $[a, b]$  is a finite subset  $\{a_i\}_{i=0}^n$  of it with

$$a = a_0 < a_1 < a_2 < \cdots < a_n = b.$$

### Integration theory

Let  $\mathcal{P}[a, b]$  be the collection of all partitions on  $[a, b]$ . Define  $\leq$  on this set as follows:

$$P \leq Q$$

if  $P$  contains all the points of  $Q$  and possibly some other points.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
**Hasse diagram**  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Pictorial representation of posets

We can use a Hasse diagram to represent a poset.



Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
**Hasse diagram**  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Pictorial representation of posets

We can use a Hasse diagram to represent a poset.

### Question

What is a **Hasse diagram**?

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
**Hasse diagram**  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Hasse diagram

## Definition (Hasse diagram)

For a partially ordered set  $(S, \leq)$ , one

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
**Hasse diagram**  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

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# Hasse diagram

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- represents each element of  $S$  as a vertex on the page, and
- draws a line segment or curve that goes **upward** from  $x$  to  $y$  if  $x < y$ , and

# Hasse diagram

## Definition (Hasse diagram)

For a partially ordered set  $(S, \leq)$ , one

- represents each element of  $S$  as a vertex on the page, and
- draws a line segment or curve that goes **upward** from  $x$  to  $y$  if  $x < y$ , and
- there is no  $z$  such that  $x < z < y$  (here,  $<$  is obtained from  $\leq$  by removing elements  $(x, x)$  for all  $x$ ).

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

**Hasse diagram**

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Hasse diagram

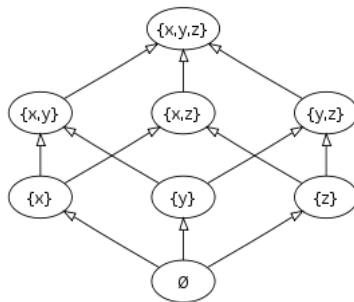


Figure:  $(\mathcal{P}(\{x, y, z\}), \subseteq)$

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

**Hasse diagram**

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Hasse diagram

## Pop-quiz 2 (3 min)

Let  $A = \{n \in \mathbb{Z}^+ : n \text{ is a factor of } 60\}$ .

Draw the Hasse diagram that represents the poset  $(A, |)$ .

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
**Hasse diagram**  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Hasse diagram

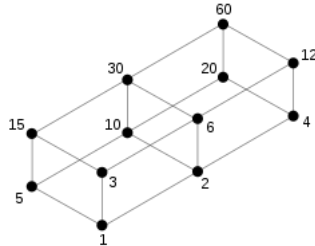


Figure:  $(A, |)$



Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
**Hasse diagram**  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

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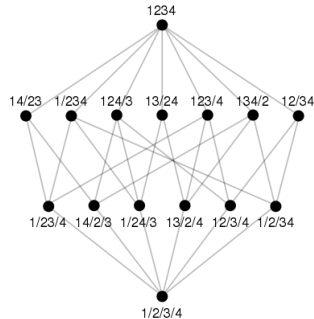


Figure: Partition of 4

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Dual

## Definition (Dual of a poset)

The *dual* of a poset  $P$ , denoted by  $P^{\text{op}}$ , has the same underlying set but has all its order relations reversed, i.e., its relation  $\leq^{\text{op}}$  is defined by

$$x \leq^{\text{op}} y \iff y \leq x.$$

## Upper and lower bound

### Pop-quiz 3 (2 min)

A sequence  $u_n$  of real numbers is defined as follows:

$$u_n = 1 - \frac{1}{n}, \quad n = 1, 2, \dots$$

Can you find two numbers  $m, M$  such that

$$m \leq u_n \leq M$$

for all  $n = 1, 2, \dots$  ?

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Upper and lower bound

$n$	$\frac{1}{n}$
73	0.9863
74	0.9864
75	0.9866
76	0.9868

FORM DEL 76  
G-CON G-PLT

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Upper and lower bound

$n$	$\sin$
73	0.9863
74	0.9864
75	0.9866
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FORM DEL 76  
G-COM G-PLT

In the above example, let  $U = \{u_n : n = 1, 2, \dots\}$ . We call

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

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FORM DEL 76  
G·CON G·PLT

In the above example, let  $U = \{u_n : n = 1, 2, \dots\}$ . We call

- $m$ : a lower bound of  $U$ , and

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Upper and lower bound

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FORM DEL 76  
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In the above example, let  $U = \{u_n : n = 1, 2, \dots\}$ . We call

- $m$ : a lower bound of  $U$ , and
- $M$ : an upper bound of  $U$ .

# Upper and lower bound

## Definition

Let  $S$  be a poset and  $T \subseteq S$ .

①  $u$  is called an *upper bound* of  $T$  iff

$$u \in \text{ub}(T) \iff \text{for all } t \in T, t \leq u.$$



# Upper and lower bound

## Definition

Let  $S$  be a poset and  $T \subseteq S$ .

- ①  $u$  is called an *upper bound* of  $T$  iff

$$u \in \text{ub}(T) \iff \text{for all } t \in T, t \leq u.$$

- ②  $l$  is called an *lower bound* of  $T$  iff

$$l \in \text{lb}(T) \iff \text{for all } t \in T, l \leq t.$$

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

## Least upper bound

In the preceding example,

$$M = 1$$

is the least upper bound of  $U$ .

## Least upper bound

In the preceding example,

$$M = 1$$

is the least upper bound of  $U$ .

We call l.u.b. the *supremum*.

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Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

## Greatest lower bound

In that example,

$$m = 0$$

is the greatest upper bound of  $U$ .

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Greatest lower bound

In that example,

$$m = 0$$

is the greatest upper bound of  $U$ .

We call the g.l.b. the *infimum*.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Sup and inf

In general, if  $T \subseteq S$ , we use the following notations:

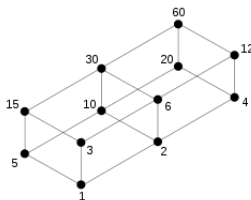
$$\sup T := \bigvee T, \quad \inf T := \bigwedge T.$$

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
**Sup and Inf**  
Lattice and complete lattice

## Finding sups and infs

In the following poset,

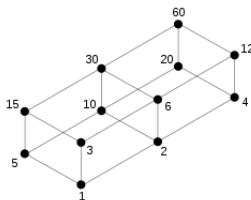


Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Finding sups and infs

In the following poset,



find

(i)  $\bigvee \{6, 15\}$ .

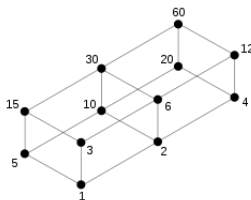


Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Finding sups and infs

In the following poset,



find

(i)  $\bigvee \{6, 15\}$ .

(ii)  $\bigwedge \{4, 10\}$ .

Object: poset

Morphism: monotone function

Poset reconstruction problem

Conclusion

Motivation

Motivation from the real line

Partial order as a relation

Examples of partial orders

Hasse diagram

Upper and lower bound

Sup and Inf

Lattice and complete lattice

# Lattice

## Definition

A poset  $L$  where every finite set has a sup and an inf is called a *lattice*.

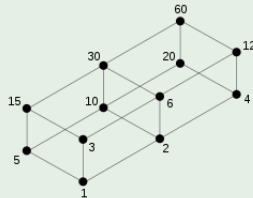
Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Lattice

## Example

The divisibility relation defines a lattice.



The inf is gcd (or hcf) and sup is lcm.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Complete lattice

## Definition

A lattice  $L$  is a *complete lattice* if for every subset  $S$  both

$$\bigvee S \text{ and } \bigwedge S$$

exists.

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

## Complete lattice

### Example

The unit interval  $[0, 1]$  is a complete lattice w.r.t. the usual order  $\leq$ .

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Motivation  
Motivation from the real line  
Partial order as a relation  
Examples of partial orders  
Hasse diagram  
Upper and lower bound  
Sup and Inf  
Lattice and complete lattice

# Complete lattice

## Example

The powerset lattice  $(\mathcal{P}(X), \subseteq)$  of  $X$  is complete since for any subset  $\mathcal{A} \subseteq \mathcal{P}(X)$ , we have

$$\bigvee \mathcal{A} = \bigcup \mathcal{A} \text{ and } \bigwedge \mathcal{A} = \bigcap \mathcal{A}.$$

# Monotone functions

Like any other algebraic structures, one is interested in certain kinds of mappings between posets which preserve the structure. Here one naturally looks at a basic requirement:

## Monotone functions

Like any other algebraic structures, one is interested in certain kinds of mappings between posets which preserve the structure. Here one naturally looks at a basic requirement:

### Definition

A mapping  $f : P \longrightarrow Q$  between posets is *monotone* if

$$a \leq b \implies f(a) \leq f(b).$$



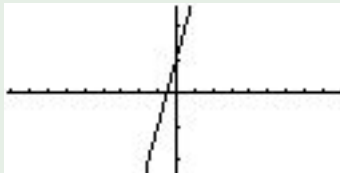
# Monotone function

## Example (Linear map)

The function

$$f : \mathbb{R} \longrightarrow \mathbb{R}, x \mapsto 2x + 1$$

is monotone.



# Monotone function

## Example (Probability)

The probability measure

$$P : \mathcal{F} \longrightarrow [0, 1], \quad E \mapsto P(E)$$

is a monotone mapping from a  $\sigma$ -algebra  $\mathcal{F}$  on the sample space  $\Omega$  to the closed unit interval.

# Monotone function

## Example (Integration)

The integral operator

$$\int : \mathcal{C}[0, 1] \rightarrow \mathbb{R}, f \mapsto \int_0^1 f(x) \, dx$$

is monotone.

# Monotone function

## Example (Integration)

The integral operator

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is monotone.

Notice that if  $\{f_n\}_{n=1}^\infty$  is a monotone increasing sequence of functions, then we can say more:

$$\sup_n \int_0^1 f_n(x) \, dx = \int_0^1 \sup_n f_n(x) \, dx.$$

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Poset isomorphism

## Non-example

### An anti-tone function

Consider the function

$$h : \mathbb{R}^+ \longrightarrow \mathbb{R}^+, x \mapsto \frac{1}{x}.$$

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## Non-example

### An anti-tone function

Consider the function

$$h : \mathbb{R}^+ \longrightarrow \mathbb{R}^+, x \mapsto \frac{1}{x}.$$

The function  $h$  is clearly **order-reversing** since

$$\begin{aligned} x_1 &< x_2 \\ \iff \frac{1}{x_1} &> \frac{1}{x_2} \end{aligned}$$

for any  $x_1, x_2 \in \mathbb{R}^+$ .

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Poset isomorphism

# Poset isomorphism

We wish to say something about posets which one regards the same, i.e., they have the same order structure.



# Poset isomorphism

We wish to say something about posets which one regards the same, i.e., they have the same order structure.

## Definition

$f : P \longrightarrow Q$  is an *isomorphism* if  $f$  is a bijection between  $P$  and  $Q$  such that

$$p_1 \leq p_2 \iff f(p_1) \leq f(p_2).$$

# Poset isomorphism

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$$p_1 \leq p_2 \iff f(p_1) \leq f(p_2).$$

In this case, we say that  $P$  is *isomorphic* to  $Q$ , denoted by

$$P \cong Q.$$

# Poset isomorphism

## Pop-quiz 4 (1 min)

Explain why the following two posets are isomorphic.

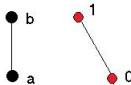


Figure: Two isomorphic posets: Sierpinski space  $\Sigma$

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Poset isomorphism

# Poset isomorphism

Consider the number  $x = 120$  and the set  $X = \{2, 3, 4, 5, 8\}$ .

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Poset isomorphism

# Poset isomorphism

Consider the number  $x = 120$  and the set  $X = \{2, 3, 4, 5, 8\}$ .

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# Poset isomorphism

Consider the number  $x = 120$  and the set  $X = \{2, 3, 4, 5, 8\}$ .

- ①  $P = \{n \in \mathbb{Z}^+ : n \mid x\}$
- ②  $Q = \mathcal{P}(X)$

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

Poset isomorphism

# Poset isomorphism

It turns out that  $P \cong Q$ .

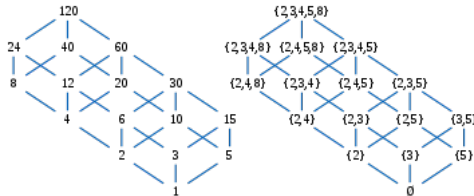


Figure: Two isomorphic lattices

# Subposet

## Definition (Subposet)

Let  $(P, \leq)$  be a poset and a non-empty subset  $S \subseteq P$ . Define  $\leq_S$  as follows:

$$s_1 \leq_S s_2 \iff s_1 \leq s_2 \text{ in } P.$$

Then  $(S, \leq_S)$  is a poset called a *subposet* of  $P$ .



## One-point-deleted subposet

### Definition (One-point-deleted subposet)

Let  $x \in P$  be given. Then the one-point-deleted subposet induced by  $x$  is defined to be

$$D_x := (P - \{x\}, \leq_{P - \{x\}}).$$

## One-point-deleted subposet

### Example

Consider the chain

$$1 < 2 < 3 < \cdots < 10.$$

## One-point-deleted subposet

### Example

Consider the chain

$$1 < 2 < 3 < \cdots < 10.$$

Then

$$D_3 = \{1 < 2 < 4 < \cdots < 10\}.$$

## One-point-deleted subposet

### Pop-quiz 5 (3 min)

Construct **all** the one-point-deleted subposets of the poset  $N_5$ :

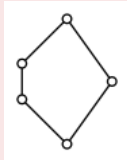


Figure: The famous non-distributive lattice  $N_5$

Note that we do keep record of repetitions.

# Deck

## Definition (Deck)

The *deck* of  $P$  is the collection (i.e., multi-set)

$$\{P - \{x\} : x \in P\}$$

of its (unlabelled) one-element-deleted subposets (called *cards* of  $P$ ).

Object: poset  
Morphism: monotone function  
**Poset reconstruction problem**  
Conclusion

Subposet

## Group activity

### Activity (5 min)

For the given cards of a mystery poset  $P$ , make a reconstruction of the original poset  $P$ .

Be aware the **orientation** of the card w.r.t. to the card number printed at the bottom-right-corner.

# Poset reconstruction

## Definition (Reconstruction)

A poset  $Q$  is a reconstruction of  $P$  if there is a bijection  $\sigma : P \rightarrow Q$  such that for every  $x \in P$ , it holds that

$$P - \{x\} \cong Q - \{\sigma(x)\}.$$

We say that  $P$  and  $Q$  have the same deck.

Object: poset  
Morphism: monotone function  
**Poset reconstruction problem**  
Conclusion

Subposet

# Reconstructible

## Definition (Reconstructible)

A poset  $P$  is said to be *reconstructible* if every reconstruction of  $P$  is isomorphic to  $P$ .



Object: poset  
Morphism: monotone function  
**Poset reconstruction problem**  
Conclusion

Subposet

# Poset reconstruction problem



Figure: Stanislaw Ulam (1909-1984)

Conjecture [P.J. Kelly and S. Ulam, 1960]

Every finite poset  $P$  of more than three elements is reconstructible.

Object: poset  
Morphism: monotone function  
**Poset reconstruction problem**  
Conclusion

Subposet

# Poset reconstruction problem

Activity (2 min)

Is the condition of having **more than three elements** necessary?

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

## Conclusion

In this talk, I have spoke about

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

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- 1 Partial orders and Lattices, and the

Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

# Conclusion

In this talk, I have spoke about

- ① Partial orders and Lattices, and the
- ② Poset reconstruction problem

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Object: poset  
Morphism: monotone function  
Poset reconstruction problem  
Conclusion

## Acknowledgment

Thank you!