



# Chromaticity of some families of dense graphs

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## Abstract

For a graph  $G$ , let  $P(G, \lambda)$  be its chromatic polynomial and let  $[G]$  be the set of graphs having  $P(G, \lambda)$  as their chromatic polynomial. We call  $[G]$  the chromatic equivalence class of  $G$ . If  $[G] = \{G\}$ , then  $G$  is said to be chromatically unique. In this paper, we first determine  $[G]$  for each graph  $G$  whose complement  $\bar{G}$  is of the form  $aK_1 \cup bK_3 \cup \bigcup_{1 \leq i \leq s} P_{l_i}$ , where  $a, b$  are any nonnegative integers and  $l_i$  is even. By this result, we find that such a graph  $G$  is chromatically unique iff  $ab = 0$  and  $l_i \neq 4$  for all  $i$ . This settles the conjecture that the complement of  $P_n$  is chromatically unique for each even  $n$  with  $n \neq 4$ . We also determine  $[H]$  for each graph  $H$  whose complement  $\bar{H}$  is of the form  $aK_3 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j}$ , where  $u_i \geq 3$  and  $u_i \not\equiv 4 \pmod{5}$  for all  $i$ . We prove that such a graph  $H$  is chromatically unique if  $u_i + 1 \neq v_j$  for all  $i, j$  and  $u_i$  is even when  $u_i \geq 6$ . © 2002 Elsevier Science B.V. All rights reserved.

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## 1. Introduction

In this paper, all graphs considered are simple graphs. For a graph  $G$ , let  $\bar{G}$ ,  $V(G)$ ,  $E(G)$ ,  $v(G)$ ,  $e(G)$ ,  $t(G)$ ,  $c(G)$ ,  $\chi(G)$ ,  $\delta(G)$  and  $P(G, \lambda)$ , respectively, be the complement, vertex set, edge set, order, size, number of triangles, number of components, chromatic number, minimum degree and chromatic polynomial of  $G$ . We will denote by  $P_n$  the path,  $C_n$  the cycle, and  $K_n$  the complete graph with  $n$  vertices.

A partition  $\{A_1, A_2, \dots, A_k\}$  of  $V(G)$ , where  $k$  is a positive integer, is called a  $k$ -independent partition of a graph  $G$  if each  $A_i$  is a nonempty independent set of

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$G$ . Let  $\alpha(G, k)$  denote the number of  $k$ -independent partitions of  $G$ . Then

$$P(G, \lambda) = \sum_{k=1}^{v(G)} \alpha(G, k)(\lambda)_k, \quad (1)$$

where  $(\lambda)_k = \lambda(\lambda - 1) \cdots (\lambda - k + 1)$ . (See [17].)

Two graphs  $G$  and  $H$  are said to be *chromatically equivalent* (or simply  $\chi$ -equivalent), symbolically  $G \sim H$ , if  $P(G, \lambda) = P(H, \lambda)$ . It is clear that the relation ‘ $\sim$ ’ is an equivalence relation on the family of graphs. The chromatic equivalence class determined by  $G$  under  $\sim$  is denoted by  $[G]$ . A graph  $G$  is said to be *chromatically unique* (or simply  $\chi$ -unique) if  $H \cong G$  whenever  $H \sim G$ . Observe that  $G$  is  $\chi$ -unique iff  $[G] = \{G\}$ . By (1), we have

**Lemma 1.1.** *For any two graphs  $G$  and  $H$ ,  $G \sim H$  iff  $v(G) = v(H)$  and  $\alpha(G, k) = \alpha(H, k)$  for all  $k$  with  $1 \leq k \leq v(G)$ .*

It is an interesting problem to determine  $[G]$  for a given graph  $G$ . In this paper, we shall study this problem for some dense graphs  $G$  such that the components of  $\bar{G}$  are isolated vertices, paths or cycles. We shall use the adjoint polynomial of a graph as a tool for this study.

Let  $G$  be a graph with order  $n$ . If  $H$  is a spanning subgraph of  $G$  and each component of  $H$  is complete, then  $H$  is called a *clique cover* (or an *ideal subgraph*) of  $G$  [4]. Two clique covers are considered to be different if they have different edge sets. For  $k \geq 1$ , let  $N(G, k)$  be the number of clique covers  $H$  in  $G$  with  $c(H) = k$ . It is clear that  $N(G, n) = 1$  and  $N(G, k) = 0$  for  $k > n$ . Define

$$h(G, \mu) = \begin{cases} \sum_{k=1}^n N(G, k)\mu^k & \text{if } n \geq 1, \\ 1 & \text{if } n = 0. \end{cases} \quad (2)$$

The polynomial  $h(G, \mu)$  is called the *adjoint polynomial* of  $G$ . Observe that  $h(G, \mu) = h(G', \mu)$  if  $G \cong G'$ . Hence,  $h(G, \mu)$  is a well-defined graph-function. The notion of the adjoint polynomial of a graph was introduced by Liu [9]. Note that the adjoint polynomial is a special case of an  $F$ -polynomial [4].

Two graphs  $G$  and  $H$  are said to be *adjointly equivalent*, symbolically  $G \sim_h H$ , if  $h(G, \mu) = h(H, \mu)$ . Let  $[G]_h = \{H \mid H \sim_h G\}$ . A graph  $G$  is said to be *adjointly unique* if  $[G]_h = \{G\}$ . By (2), we have

**Lemma 1.2.** *For any two graphs  $G$  and  $H$ ,  $G \sim_h H$  iff  $v(G) = v(H)$  and  $N(G, k) = N(H, k)$  for all  $k$  with  $1 \leq k \leq v(G)$ .*

Let  $\bar{G}$  denote the complement of  $G$ , i.e., the graph with vertex set  $V(G)$  and edge set  $\{xy \mid xy \notin E(G), x, y \in V(G)\}$ . Note that

$$\alpha(G, k) = N(\bar{G}, k), \quad k = 1, 2, \dots, n. \quad (3)$$

It follows from Lemmas 1.1 and 1.2 together with (3) that

**Theorem 1.1.** (i)  $G \sim H$  iff  $\bar{G} \sim_h \bar{H}$ ;

(ii)  $[G] = \{H | \bar{H} \in [\bar{G}]_h\}$ ;

(iii)  $G$  is chromatically unique iff  $\bar{G}$  is adjointly unique.

Hence the goal of determining  $[G]$  for a given graph  $G$  can be realized by determining  $[\bar{G}]_h$ . Thus, as has been observed in [13,16], if  $e(G)$  is very large, it may be easier to study  $[\bar{G}]_h$  rather than  $[G]$ . Another polynomial used to study the chromaticity of dense graphs is the  $\sigma$ -polynomial, which was introduced by Korfhage [6]. The  $\sigma$ -polynomial of  $G$  is defined by

$$\sigma(G, \mu) = h(\bar{G}, \mu) / \mu^{\chi(G)}.$$

Although some researchers, such as Du [3] and Li and Whitehead [7], have used  $\sigma$ -polynomials to study the chromaticity of some dense graphs, one disadvantage is that  $\sigma(G, \mu)$  does not determine the order of  $G$ . This can be seen from the fact that  $\sigma(G, \mu) = \sigma(G \cup mK_1, \mu)$  for any integer  $m \geq 1$ , where  $G \cup mK_1$  is the graph obtained from  $G$  by adding  $m$  isolated vertices. The adjoint polynomial does not have this fault, and it contains all the information that the  $\sigma$ -polynomial has. Hence in this paper we shall use adjoint polynomials rather than  $\sigma$ -polynomials.

It is clear that  $N(G, k)$  is an invariant for adjointly equivalent graphs for each positive integer  $k$ . Thus any expression in terms of  $N(G, 1), N(G, 2), \dots, N(G, n)$  is an invariant for adjointly equivalent graphs. However we prefer invariants which have some useful properties such as having constant upper bounds or lower bounds. One invariant that has been used by several researchers [5,11–16], to determine adjoint equivalence classes of graphs is  $R_1(G)$ . Given a polynomial  $f(x) = x^n + b_1x^{n-1} + b_2x^{n-2} + \dots + b_n$ , let

$$R_1(f) = \begin{cases} -\binom{b_1}{2} + b_1 & \text{if } n = 1, \\ b_2 - \binom{b_1}{2} + b_1 & \text{if } n \geq 2. \end{cases} \tag{4}$$

For any graph  $G$ , we define

$$R_1(G) = R_1(h(G, \mu)). \tag{5}$$

It is clear that  $R_1(G)$  is in fact an invariant for adjointly equivalent graphs. It is known that this invariant is additive over the components of a graph. Specifically we have the following lemma.

**Lemma 1.3** (Liu [9]). For any graph  $G$  with components  $G_1, G_2, \dots, G_k$

$$R_1(G) = \sum_{i=1}^k R_1(G_i).$$

Liu [16] showed that  $R_1(G) \leq 1$  for any connected graph  $G$ , and characterised the connected graphs  $G$  with  $R_1(G) \geq 0$ . For positive integers  $k, s$  and  $t$ , let  $T_{k,s,t}$  be

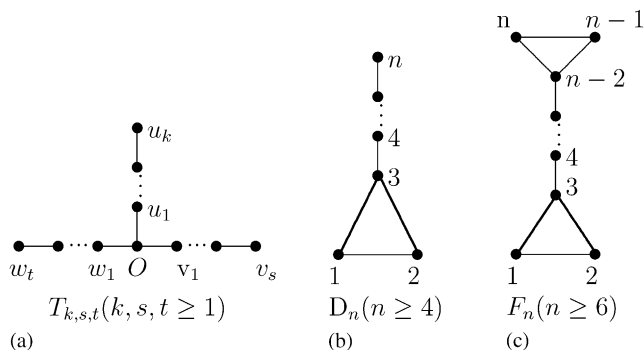


Fig. 1.

the graph in Fig. 1(a). Let

$$\mathcal{F}' = \{T_{k,s,t} \mid k \geq s \geq t \geq 1\}.$$

Let  $D_n$  and  $F_n$  be the graphs shown in Fig. 1(b) and (c).

**Theorem 1.2** (Liu and Zhao [16]). *Let  $G$  be a connected graph. Then  $R_1(G) \leq 1$  and*

- (i)  $R_1(G) = 1$  iff  $G \in \{K_3\} \cup \{P_n \mid n \geq 2\}$ ,
- (ii)  $R_1(G) = 0$  iff  $G \in \{K_1\} \cup \mathcal{F}' \cup \{C_n, D_n \mid n \geq 4\}$ , and
- (iii)  $R_1(G) = -1$  with  $e(G) \geq v(G) + 1$  iff  $G \in \{K_4 - e\} \cup \{F_n \mid n \geq 6\}$ .

A further result from [1] that is needed for the present paper is the following.

**Theorem 1.3.** *For any connected graph  $G$  with  $G \notin \{K_3, K_4\}$ ,*

- (i) if  $-1 \leq R_1(G) \leq 1$ , then  $R_1(G) \leq v(G) - e(G)$  with equality if and only if

$$G \in \{K_4 - e\} \cup \{P_n, C_{n+1}, D_{n+2}, F_{n+4} \mid n \geq 2\};$$

- (ii) if  $R_1(G) \leq -2$ , then  $R_1(G) \leq v(G) - e(G) - 1$ .

Note that  $e(K_3) + R_1(K_3) = v(K_3) + 1$  and  $e(K_4) + R_1(K_4) = v(K_4)$ , since  $R_1(K_4) = -2$  [1].

In [1] another invariant  $R_2(G)$  is introduced. For any polynomial  $f(x) = x^n + b_1x^{n-1} + b_2x^{n-2} + \dots + b_n$ , let

$$R_2(f) = b_3 - \binom{b_1}{3} - (b_1 - 2) \left( b_2 - \binom{b_1}{2} \right) - b_1,$$

where  $b_k = 0$  for  $k > n$ . For any graph  $G$ , define

$$R_2(G) = R_2(h(G, \mu)).$$

Since  $R_2(G)$  is determined by  $h(G, \mu)$ ,  $R_2(G)$  is indeed an invariant for adjointly equivalent graphs. This invariant is also additive over the components of a graph (see [1]). We shall use it in combination with  $R_1(G)$  to determine the adjoint equivalence classes of certain graphs and confirm a conjecture of Liu [13] that  $P_n$  is adjointly unique for each even  $n \neq 4$ .

We shall need the following theorem from [1]. Here  $Y_n$  denotes the graph  $T_{n-3,1,1}$  where  $n \geq 4$ .

- Theorem 1.4.** (i)  $R_2(P_1) = 0$ ,  $R_2(P_2) = -1$  and  $R_2(P_n) = -2$  for  $n \geq 3$ ;  
 (ii)  $R_2(K_3) = -2$  and  $R_2(C_n) = 0$  for  $n \geq 4$ ;  
 (iii)  $R_2(Y_4) = -1$  and  $R_2(Y_n) = 0$  for  $n \geq 5$ ;  
 (iv)  $R_2(D_4) = 0$  and  $R_2(D_n) = 1$  for  $n \geq 5$ ;  
 (v)  $R_2(F_6) = 5$  and  $R_2(F_n) = 4$  for  $n \geq 7$ ;  
 (vi)  $R_2(K_4 - e) = 3$  and  $R_2(K_4) = 7$ .

We also need the following results from [2] on the zeros of the adjoint polynomials of paths and cycles.

**Theorem 1.5.** For any positive integer  $n$ , the zeros of  $h(P_n, \mu)$  are:

$$\underbrace{0, 0, \dots, 0}_{\lfloor n/2 \rfloor}, \quad -2 - 2 \cos \frac{2s\pi}{n+1}, \quad s = 1, 2, \dots, \lfloor n/2 \rfloor.$$

**Theorem 1.6.** For any integer  $n \geq 4$ ,  $h(C_n, \mu)$  has the following zeros:

$$\underbrace{0, 0, \dots, 0}_{\lfloor n/2 \rfloor}, \quad -2 - 2 \cos \frac{(2s-1)\pi}{n}, \quad s = 1, 2, \dots, \lfloor n/2 \rfloor.$$

For  $S \subseteq V(G)$ , let  $G[S]$  denote the subgraph of  $G$  induced by  $S$ . For any two graphs  $G$  and  $H$ , let  $G \cup H$  denote the graph whose vertex set can be partitioned into sets  $V_1$  and  $V_2$  such that  $(G \cup H)[V_1] \cong G$  and  $(G \cup H)[V_2] \cong H$ , and whose edge set is  $E(G) \cup E(H)$ . We call  $G \cup H$  the union of  $G$  and  $H$ . For any positive integer  $k$ , let  $kG$  denote the union of  $k$  copies of  $G$ . Our main results determine  $[G]$  for any graph  $G$  with  $\bar{G} = aK_1 \cup bK_3 \cup \bigcup_{1 \leq i \leq s} P_{l_i}$ , where  $a, b$  are any nonnegative integers and  $l_i$  is even, or  $\bar{G} = aK_3 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j}$ , where  $u_i \geq 3$  and  $u_i \not\equiv 4 \pmod{5}$  for all  $i$ .

## 2. Chromatic equivalence classes of some dense graphs

Our aim is to determine the chromatic equivalence classes of some graphs  $G$  such that the components of  $\bar{G}$  are members of the set

$$\{K_1, D_4\} \cup \{P_k, C_{k+1} \mid k \geq 2\},$$

but we realize this aim by determining the adjoint equivalence classes of graphs whose components belong to this set. We do not consider it necessary to translate the results on adjoint equivalence classes and adjoint uniqueness into those on chromatic equivalence classes and chromatic uniqueness.

We shall need the following reduction formula.

**Theorem 2.1** (Liu [10]). *If  $xy$  is an edge not in any triangle of a graph  $G$  then*

$$h(G, \mu) = h(G - xy, \mu) + \mu h(G - \{x, y\}, \mu).$$

For any graph  $G$ , let  $\bar{\chi}(G)$  denote the minimum integer  $k$  such that  $N(G, k) > 0$ . Observe that  $\bar{\chi}(G) = \chi(\bar{G})$ . Let  $\tau(G) = N(G, \bar{\chi}(G))$ . By the definition of  $N(G, k)$ , we have

**Lemma 2.1.** *Let  $G_1, G_2, \dots, G_k$  be the components of  $G$ . Then*

$$\bar{\chi}(G) = \sum_{i=1}^k \bar{\chi}(G_i), \quad (6)$$

$$\tau(G) = \prod_{i=1}^k \tau(G_i). \quad (7)$$

Since  $v(G)$ ,  $e(G)$ ,  $R_1(G)$ ,  $R_2(G)$ ,  $\bar{\chi}(G)$  and  $\tau(G)$  are determined by  $h(G, \mu)$ , we have

**Lemma 2.2.** *For any graph  $G$ , the parameters  $v(G)$ ,  $e(G)$ ,  $R_1(G)$ ,  $R_2(G)$ ,  $\bar{\chi}(G)$  and  $\tau(G)$  are invariants for graphs in  $[G]_h$ .*

But the following lemma shows that the number of components of a graph is not an invariant for adjointly equivalent graphs.

**Lemma 2.3.** (i)  $h(P_4, \mu) = h(K_1 \cup K_3, \mu)$ ;

(ii)  $h(P_{2n+1}, \mu) = h(P_n \cup C_{n+1}, \mu)$  for any  $n \geq 3$ ;

(iii)  $h(Y_n, \mu) = h(K_1 \cup C_{n-1}, \mu)$  for any  $n \geq 5$ ;

(iv)  $h(C_4, \mu) = h(D_4, \mu)$ .

**Proof.** (i) Observe that  $h(P_4, \mu) = \mu^4 + 3\mu^3 + \mu^2 = \mu h(C_3, \mu)$ .

(ii) By Theorem 2.1, for  $n \geq 3$ ,

$$h(P_{2n+1}, \mu) = h(P_n, \mu)h(P_{n+1}, \mu) + \mu h(P_n, \mu)h(P_{n-1}, \mu)$$

and

$$h(C_{n+1}, \mu) = h(P_{n+1}, \mu) + \mu h(P_{n-1}, \mu).$$

The result then follows.

(iii) By Theorem 2.1, for  $n \geq 5$ ,

$$h(Y_n, \mu) = \mu h(P_{n-1}, \mu) + \mu^2 h(P_{n-3}, \mu),$$

and

$$h(C_{n-1}, \mu) = h(P_{n-1}, \mu) + \mu h(P_{n-3}, \mu).$$

The result follows.

(iv) Observe that  $h(C_4, \mu) = h(D_4, \mu) = \mu^4 + 4\mu^3 + 2\mu^2$ .  $\square$

By Lemma 2.3, we have

**Theorem 2.2.** *A graph is not adjointly unique if either one of its components or the union of two of its components is included in the set*

$$\{P_4, C_4, D_4\} \cup \{P_{2n+1}, Y_{n+2}, P_n \cup C_{n+1}, K_1 \cup C_n \mid n \geq 3\}.$$

In the following subsections, we shall focus on determining the adjoint equivalence class of each graph in the sets  $\mathcal{S}_1$  and  $\mathcal{S}_2$ , where

$$\mathcal{S}_1 = \left\{ r_0 K_1 \cup r_1 K_3 \cup \bigcup_{1 \leq i \leq s} P_{2l_i} \mid r_0, r_1 \geq 0, s \geq 0, l_i \geq 1 \right\}, \quad \text{and}$$

$$\mathcal{S}_2 = \left\{ aK_3 \cup bD_4 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j} \mid a, b \geq 0, u_i \geq 3, u_i \not\equiv 4 \pmod{5}, v_j \geq 4 \right\}.$$

### 2.1. The family $\mathcal{S}_1$

There are some known results on the adjoint uniqueness of graphs in  $\mathcal{S}_1$ , but the problem of determining all adjointly unique graphs in  $\mathcal{S}_1$  has not hitherto been settled.

**Theorem 2.3** (Du [3]). *The graph  $\bigcup_{i=1}^s P_{n_i} \cup IC_3$ , where each  $n_i$  is even and  $n_i \not\equiv 4 \pmod{10}$ , is adjointly unique.*

By Theorem 2.2,  $P_n$  is not adjointly unique for  $n = 4$  or odd  $n \geq 7$ . Is  $P_n$  adjointly unique for any even  $n$  with  $n \neq 4$ ? Liu [13] proposed the following conjecture.

**Conjecture.** For each even number  $n \neq 4$ ,  $P_n$  is adjointly unique.

Du’s result partially proved the above conjecture. In this section, we shall determine  $[G]_h$  for every  $G \in \mathcal{S}_1$ , and hence all adjointly unique graphs in  $\mathcal{S}_1$ . Consequently, we prove the above conjecture.

We shall prove that  $h(G, \mu) \neq h(H, \mu)$  for any graphs  $G \in \mathcal{S}_1$  and  $H \notin \mathcal{S}_1$ . For this purpose, we introduce the concept of being adjointly closed. A set  $\mathcal{S}$  of graphs is said

to be *adjointly closed* if  $[G]_h \subseteq \mathcal{S}$  for any graph  $G \in \mathcal{S}$ . Thus, if  $\mathcal{S}$  is adjointly closed, then  $\mathcal{S} = \bigcup_{G \in \mathcal{S}} [G]_h$ . In the following, we first prove the set  $\mathcal{S}_1$  is adjointly closed, then determine the adjoint equivalence class for each graph in  $\mathcal{S}_1$ , and finally find all adjointly unique graphs in  $\mathcal{S}_1$ .

**Lemma 2.4.** *For any connected graph  $G$  with  $v(G) \geq 2$ , if  $\tau(G) = 1$ , then  $\bar{\chi}(G) \leq v(G)/2$ .*

**Proof.** Let  $\bar{\chi}(G) = k$ . If  $k = 1$ , the result holds. Let  $k \geq 2$ . Since  $\tau(G) = N(G, k) = 1$ ,  $G$  has only one clique cover  $H$  with  $k$  components, say  $H_1, H_2, \dots, H_k$ . We shall show that  $v(H_i) \geq 2$  for all  $i = 1, 2, \dots, k$ . Suppose that  $V(H_1) = \{x\}$ . Let  $y \in N_G(x)$ , say  $y \in V(H_2)$ , and let  $H_0 = G[\{x, y\}]$ . If  $V(H_2) = \{y\}$ , then  $G$  has a clique cover  $H'$  with  $k - 1$  components  $H_0, H_3, H_4, \dots, H_k$ , which contradicts the fact that  $\bar{\chi}(G) = k$ . Otherwise, we have another clique cover with  $k$  components  $H_0, H_2 - y, H_3, H_4, \dots, H_k$ , which contradicts the fact that  $\tau(G) = 1$ . Hence  $v(H_i) \geq 2$  for all  $i = 1, 2, \dots, k$ , which implies that  $k \leq v(G)/2$ .  $\square$

**Lemma 2.5.** *For any connected graph  $G$ , if  $\tau(G) = 1$ , then*

$$e(G) + R_1(G) + 2\bar{\chi}(G) \leq 2v(G), \quad (8)$$

where equality holds iff  $G \in \{K_1, K_3\} \cup \{P_{2i} \mid i \geq 1\}$ .

**Proof.** Observe that the equality of (8) holds for any graph  $G$  in the set  $\{K_1, K_3\} \cup \{P_{2i} \mid i \geq 1\}$ . Now let  $G$  be a connected graph and  $G \notin \{K_1, K_3\} \cup \{P_{2i} \mid i \geq 1\}$ . It remains to show that the strict inequality holds for  $G$ .

If  $G = K_4$ , then

$$e(G) + R_1(G) + 2\bar{\chi}(G) = 6 - 2 + 2 = 6 < 2v(G).$$

Now let  $G \notin \{K_1, K_3, K_4\} \cup \{P_{2i} \mid i \geq 1\}$ . By Theorem 1.3, we have

$$e(G) + R_1(G) \leq v(G).$$

Since  $\tau(G) = 1$ , by Lemma 2.4,  $\bar{\chi}(G) \leq v(G)/2$ . Thus

$$e(G) + R_1(G) + 2\bar{\chi}(G) \leq 2v(G).$$

Now suppose that the above equality holds. This occurs iff

$$e(G) + R_1(G) = v(G),$$

$$\bar{\chi}(G) = v(G)/2.$$

Since  $e(G) + R_1(G) = v(G)$ , again by Theorem 1.3,  $R_1(G) \geq -1$ . By Theorem 1.2,

$$G \in \{P_n, C_n, D_n, F_n\} \cup \{K_4 - e\},$$

where  $n = v(G)$ . But  $\tau(P_n) > 1$  when  $n \geq 3$  and  $n$  is odd,  $\tau(C_n) > 1$  when  $n \geq 4$ , either  $\tau(D_n) > 1$  (when  $n$  is even) or  $\bar{\chi}(D_n) < n/2$  (when  $n$  is odd),  $\bar{\chi}(F_n) < n/2$  and  $\tau(K_4 - e) > 1$ , a contradiction.  $\square$



By Lemma 2.5, we find a necessary and sufficient condition for a graph to be in  $\mathcal{S}_1$ .

**Theorem 2.4.** For any graph  $G$ ,  $G \in \mathcal{S}_1$  iff  $\tau(G) = 1$  and

$$e(G) + R_1(G) + 2\bar{\chi}(G) = 2v(G).$$

**Proof.** It is straightforward to check the necessity. Now let  $\tau(G) = 1$  and  $e(G) + R_1(G) + 2\bar{\chi}(G) = 2v(G)$ . Let  $G_1, G_2, \dots, G_k$  be the components of  $G$ . By Lemma 2.1,  $\tau(G_j) = 1$  for all  $j$  as  $\tau(G) = 1$ , and  $\bar{\chi}(G) = \sum_{j=1}^k \bar{\chi}(G_j)$ . Thus by Lemma 2.5,

$$e(G) + R_1(G) + 2\bar{\chi}(G) = \sum_{j=1}^k (e(G_j) + R_1(G_j) + 2\bar{\chi}(G_j)) \leq \sum_{j=1}^k 2v(G_j) = 2v(G).$$

Since  $e(G) + R_1(G) + 2\bar{\chi}(G) = 2v(G)$ , we have  $e(G_j) + R_1(G_j) + 2\bar{\chi}(G_j) = 2v(G_j)$  for each  $j$ . By Lemma 2.5 again, we have  $G_j \in \{K_1, K_3\} \cup \{P_{2i} \mid i \geq 1\}$  for all  $j$ . Hence  $G \in \mathcal{S}_1$ .  $\square$

By Lemma 2.2,  $\tau(G)$ ,  $v(G)$ ,  $e(G)$ ,  $R_1(G)$  and  $\bar{\chi}(G)$  are invariants for graphs in  $[G]_h$ . Thus by Theorem 2.4, we have  $[G]_h \subseteq \mathcal{S}_1$  if  $G \in \mathcal{S}_1$ .

**Theorem 2.5.** The set  $\mathcal{S}_1$  is adjointly closed.

Since  $\mathcal{S}_1$  is adjointly closed, we need only search graphs within  $\mathcal{S}_1$  for determining  $[G]_h$  if  $G \in \mathcal{S}_1$ .

**Theorem 2.6.** For any nonnegative integers  $s$ ,  $r_0$ ,  $r_1$  and  $a_i$ ,  $i = 1, \dots, s$ , if  $G = r_0K_1 \cup r_1K_3 \cup \bigcup_{i=1}^s a_iP_{2i}$ , then

$$[G]_h = \left\{ (r_0 - a)K_1 \cup (r_1 - a)K_3 \cup (a_2 + a)P_4 \cup \bigcup_{\substack{1 \leq i \leq s \\ i \neq 2}} a_iP_{2i} \mid -a_2 \leq a \leq \min\{r_0, r_1\} \right\}.$$

**Proof.** Let  $\mathcal{G}$  denote the set of graphs in the right-hand side of the theorem. Since

$$h(P_4, \mu) = \mu h(K_3, \mu) = h(K_1, \mu)h(K_3, \mu),$$

we have  $\mathcal{G} \subseteq [G]_h$ .

Observe that

$$h(G, \mu) = \mu^{r_0 - r_1} (h(P_4, \mu))^{r_1 + a_2} \prod_{\substack{1 \leq i \leq s \\ i \neq 2}} (h(P_{2i}, \mu))^{a_i}.$$

By Theorem 1.5,  $h(G, \mu)$  has exactly  $a_i$  repeated zeros  $-2 - 2 \cos(2\pi/(2i + 1))$  for  $1 \leq i \leq s$ ,  $i \neq 2$ , and has  $r_1 + a_2$  repeated zeros  $-2 - 2 \cos 2\pi/5$ .

Now let  $H$  be a graph in  $[G]_h$ . By Theorem 2.5,  $H \in \mathcal{S}_1$ . Thus

$$H \cong r'_0 K_1 \cup r'_1 K_3 \cup \bigcup_{i=1}^{\infty} a'_i P_{2i},$$

for some nonnegative integers  $r'_0$ ,  $r'_1$  and  $a'_i$ ,  $i = 1, 2, \dots$ , where  $\sum_{i \geq 1} a'_i$  is finite.

By comparing the zeros of  $h(H, \mu)$  and  $h(G, \mu)$ , we see that

$$a'_1 = a_1, \quad a'_2 + r'_1 = a_2 + r_1, \quad a'_i = a_i \text{ for } i = 3, 4, \dots, s, \text{ and } a'_i = 0 \text{ for } i \geq s + 1.$$

Let  $a = r_1 - r'_1$ . Then  $a'_2 = a_2 + a$  and  $r'_1 = r_1 - a$ . By considering the order of  $H$ , we have

$$r_0 + 3r_1 + \sum_{i=1}^s 2ia_i = r'_0 + 3r'_1 + \sum_{i=1}^s 2ia'_i,$$

which implies that  $r'_0 = r_0 - a$ . Since  $a'_2, r'_1$  and  $r'_0$  are nonnegative, we have  $-a_2 \leq a \leq \min\{r_0, r_1\}$ . Thus  $H \in \mathcal{G}$  and therefore  $[G]_h \subseteq \mathcal{G}$ .  $\square$

**Theorem 2.7.** For nonnegative integers  $r_0, r_1, s, a_1, a_2, \dots, a_s$ , the graph

$$r_0 K_1 \cup r_1 K_3 \cup \bigcup_{i=1}^s a_i P_{2i}$$

is adjointly unique iff  $r_0 r_1 + a_2 = 0$ .

**Proof.** Let  $G = r_0 K_1 \cup r_1 K_3 \cup \bigcup_{i=1}^s a_i P_{2i}$ . Recall that  $G$  is adjointly unique iff  $[G]_h = \{G\}$ . By Theorem 2.6,  $[G]_h = \{G\}$  iff  $a_2 = 0$  and  $r_0 r_1 = 0$ .

As a consequence of Theorem 2.7, we now know which paths are adjointly unique. In fact, a more general result is obtained.

**Theorem 2.8.** For any integers  $n$  and  $r$  with  $n \geq 1$  and  $r \geq 0$ ,  $rK_1 \cup P_n$  is adjointly unique iff  $n \in \{1, 2, 3, 5\} \cup \{l \geq 6 \mid l \text{ is even}\}$  and  $r = 0$  when  $n = 5$ .

**Proof.** It is easy to verify that  $rK_1 \cup P_n$  is adjointly unique when  $1 \leq n \leq 3$ . By Theorem 2.2,  $rK_1 \cup P_n$  is not adjointly unique for  $n = 4$  or odd  $n \geq 7$ . By Theorem 2.7,  $rK_1 \cup P_n$  is adjointly unique for even  $n \geq 6$ . It remains to show that  $rK_1 \cup P_5$  is adjointly unique iff  $r = 0$ .

If  $r \geq 1$ , we have

$$h(rK_1 \cup P_5) = h((r-1)K_1 \cup T_{1,1,1} \cup P_2).$$

Thus  $rK_1 \cup P_5$  is not adjointly unique if  $r \geq 1$ .

Let  $G$  be a graph with  $h(G, \mu) = h(P_5, \mu)$ . Then  $R_1(G) = 1$  by Lemma 2.2 and Theorem 1.2. By Lemma 1.3 and Theorem 1.2,  $G$  has a component, say  $G_0$ , such that

$R_1(G_0) = 1$ . Again by Theorem 1.2, either  $G_0 \cong K_3$  or  $G_0 \cong P_t$  for some  $2 \leq t \leq 5$ . Since  $h(G_0, \mu)$  is a factor of  $h(G, \mu) = h(P_5, \mu)$ , by Theorem 1.5, either  $G_0 \cong P_2$  or  $G_0 \cong P_5$ . If  $G_0 \cong P_5$ , then  $G \cong P_5$ . Now suppose that  $G_0 \cong P_2$ . Observe that

$$h(P_5, \mu) = \mu^5 + 4\mu^4 + 3\mu^3 = (\mu^3 + 3\mu^2)(\mu^2 + \mu) = (\mu^3 + 3\mu^2)h(P_2, \mu).$$

Let  $G_1$  be the graph  $G[V(G) - V(G_0)]$ . We have

$$h(G, \mu) = h(G_0, \mu)h(G_1, \mu).$$

Thus

$$h(G_1, \mu) = \mu^3 + 3\mu^2.$$

Therefore the graph  $G_1$  is of order 3 and size 3, which implies that  $G_1 \cong K_3$ . But

$$h(K_3, \mu) = \mu^3 + 3\mu^2 + \mu,$$

a contradiction. Hence  $G \cong P_5$  and  $P_5$  is adjointly unique.  $\square$

Thus, the graph  $rK_1 \cup P_5$  is not adjointly unique when  $r \geq 1$ . The following result gives its adjoint equivalence class.

**Theorem 2.9.** *For any positive integer  $r$ , the adjoint equivalence class of  $rK_1 \cup P_5$  is*

$$\{rK_1 \cup P_5, (r - 1)K_1 \cup T_{1,1,1} \cup P_2\}.$$

**Proof.** We have verify that  $(r - 1)K_1 \cup T_{1,1,1} \cup P_2 \sim_h rK_1 \cup P_5$ . Let  $G$  be a graph with  $G \sim_h rK_1 \cup P_5$ . It suffices to show that  $G \in \{rK_1 \cup P_5, (r - 1)K_1 \cup T_{1,1,1} \cup P_2\}$ .

Observe that  $R_1(G) = R_1(rK_1 \cup P_5) = 1$  by Lemmas 2.2 and 1.3. Thus by Lemma 1.3 and Theorem 1.2,  $G$  has a component, say  $G_0$ , such that  $R_1(G_0) = 1$ . Again by Theorem 1.2, either  $G_0 \cong K_3$  or  $G_0 \cong P_t$  for some  $2 \leq t \leq 5$ . Since  $h(G_0, \mu)$  is a factor of  $h(G, \mu) = h(rK_1 \cup P_5, \mu)$ , by Theorem 1.5, either  $G_0 \cong P_2$  or  $G_0 \cong P_5$ . If  $G_0 \cong P_5$ , then we have  $G \cong rK_1 \cup P_5$ . Now assume that  $G_0 \cong P_2$ . Let  $G_1 = G[V(G) - V(G_0)]$ . Then  $G_1$  is a graph of size 3. Thus

$$G_1 = r_0K_1 \cup r_1P_2 \cup r_2P_3 \cup r_3P_4 \cup r_4K_3 \cup r_5T_{1,1,1}$$

for some non-negative integers  $r_0, r_1, r_2, r_3, r_4, r_5$ . Since  $R_1(G_1) = R_1(G) - R_1(G_0) = 0$ , we have

$$0 = R_1(G_1) = r_1 + r_2 + r_3 + r_4,$$

implying that  $r_i = 0$  for  $i = 1, 2, 3, 4$ . Hence  $G_1 = r_0K_1 \cup r_5T_{1,1,1}$ . Since  $G_1$  is of size 3 and order  $r + 3$ , we have  $r_5 = 1$  and  $r_0 = r - 1$ . Therefore  $G \cong (r - 1)K_1 \cup T_{1,1,1} \cup P_2$ .  $\square$

## 2.2. The family $\mathcal{S}_2$

We need two results before we can prove that  $\mathcal{S}_2$  is adjointly closed. For any graph  $G$ , let  $c_3(G)$  be the number of components of  $G$  which are isomorphic to  $K_3$ .

**Lemma 2.6.** *For any graph  $G$ ,*

$$e(G) + R_1(G) \leq v(G) + c_3(G),$$

where equality holds iff  $G_i$  in  $\{K_4 - e, K_4\} \cup \{P_n, C_{n+1}, D_{n+2}, F_{n+4} \mid n \geq 2\}$  for each component  $G_i$  of  $G$ .

**Proof.** Let  $H$  be a connected graph. By Theorems 1.2 and 1.3, we have  $e(H) + R_1(H) \leq v(H) + 1$ , and further

- (i)  $e(H) + R_1(H) = v(H) + 1$  iff  $H \cong K_3$ ;
- (ii)  $e(H) + R_1(H) = v(H)$  iff

$$H \in \{K_4 - e, K_4\} \cup \{P_n, C_{n+2}, D_{n+2}, F_{n+4} \mid n \geq 2\}.$$

Let  $G_1, G_2, \dots, G_k$  be the components of  $G$ . Since  $e(G) = \sum_{i=1}^k e(G_i)$ ,  $R_1(G) = \sum_{i=1}^k R_1(G_i)$  and  $v(G) = \sum_{i=1}^k v(G_i)$ , the result then follows.  $\square$

**Lemma 2.7.** *If  $e(G) + R_1(G) = v(G) + c_3(G)$ , then*

$$2R_1(G) + R_2(G) \geq 0,$$

where equality holds iff  $G_i \in \{D_4\} \cup \{P_n, C_n \mid n \geq 3\}$  for each component  $G_i$  of  $G$ .

**Proof.** Let  $G_i$  be any component of  $G$ . Since  $e(G) + R_1(G) = v(G) + c_3(G)$ , by Lemma 2.6,

$$G_i \in \{K_4 - e, K_4\} \cup \{P_n, C_{n+1}, D_{n+2}, F_{n+4} \mid n \geq 2\}.$$

By Theorem 1.2 and Lemma 1.4 and the fact that  $R_1(K_4) = -2$ ,

$$2R_1(G_i) + R_2(G_i) \begin{cases} = 0 & \text{if } G_i \in \{D_4\} \cup \{P_n, C_n \mid n \geq 3\}, \\ = 1 & \text{if } G_i \in \{P_2, K_4 - e\} \cup \{D_n \mid n \geq 5\}, \\ > 1 & \text{if } G_i \in \{K_4\} \cup \{F_n \mid n \geq 6\}. \end{cases}$$

Thus,  $2R_1(G) + R_2(G) \geq 0$ , where equality implies that  $G_i \in \{D_4\} \cup \{P_n, C_n \mid n \geq 3\}$  for each component  $G_i$  of  $G$ .  $\square$

Now we can prove that  $\mathcal{S}_2$  is adjointly closed. In fact, the set  $\mathcal{S}_2$  can be partitioned into smaller adjointly closed sets. For any nonnegative integer  $a$ , let

$$\mathcal{S}_2(a) = \{G \in \mathcal{S}_2 \mid c_3(G) = a\}.$$

Observe that

$$\mathcal{S}_2 = \bigcup_{a \geq 0} \mathcal{S}_2(a).$$

**Theorem 2.10.** For any nonnegative integer  $a$ ,  $\mathcal{S}_2(a)$  is adjointly closed.

**Proof.** Let  $G \in \mathcal{S}_2(a)$ , i.e.,

$$G = aK_3 \cup bD_4 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j},$$

for nonnegative integers  $a, b, u_i$  and  $v_j$  with  $u_i \geq 3, u_i \not\equiv 4 \pmod{5}$  and  $v_j \geq 4$ . Let  $H$  be any graph such that  $h(H, \mu) = h(G, \mu)$ . It suffices to show that  $H \in \mathcal{S}_2(a)$ . Since  $h(K_3, \mu) = h(P_4, \mu)/\mu$  if  $\mu \neq 0$ , by Theorem 1.5,  $-2 - 2 \cos 4\pi/5$  is a zero of  $h(K_3, \mu)$ . But it is not a zero of  $h(P_{u_i}, \mu)$  when  $u_i \not\equiv 4 \pmod{5}$  by Theorem 1.5, and it is also not a zero of  $h(C_{v_j}, \mu)$  by Theorem 1.6. As  $h(D_4, \mu) = h(C_4, \mu)$  by Lemma 2.3(iv),  $-2 - 2 \cos 4\pi/5$  is not a zero of  $h(D_4, \mu)$ . Hence the zero  $-2 - 2 \cos 4\pi/5$  of  $h(G, \mu)$  is of multiplicity  $a$ , which implies that  $c_3(H) \leq a$ . Now let

$$H = a'K_3 \cup H',$$

where  $a' \leq a$  and  $c_3(H') = 0$ . Then  $h(H', \mu) = h(G', \mu)$ , where

$$G' = (a - a')K_3 \cup bD_4 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j}.$$

Observe that  $e(G') + R_1(G') = v(G') + a - a'$  by Lemma 2.6. Thus, we have

$$e(H') + R_1(H') = e(G') + R_1(G') = v(G') + a - a' = v(H') + a - a'.$$

Further,

$$\begin{aligned} e(H) + R_1(H) &= a'(e(K_3) + R_1(K_3)) + (e(H') + R_1(H')) \\ &= 4a' + v(H') + a - a' = v(H') + a + 3a' \\ &= v(H) + a \geq v(H) + a' = v(H) + c_3(H). \end{aligned}$$

By Lemma 2.6, we have  $e(H) + R_1(H) \leq v(H) + c_3(H)$ . Hence,  $a = a'$  and  $e(H) + R_1(H) = v(H) + c_3(H)$ .

Observe that  $2R_1(G) + R_2(G) = 0$  by Lemmas 2.6 and 2.7. Thus

$$2R_1(H) + R_2(H) = 2R_1(G) + R_2(G) = 0.$$

By Lemma 2.7, each component of  $H$  is a graph in the set

$$\{D_4\} \cup \{P_n, C_n \mid n \geq 3\}.$$

Assume that

$$H = aK_3 \cup b'D_4 \cup \bigcup_{1 \leq i \leq s'} P_{u'_i} \cup \bigcup_{1 \leq j \leq t'} C_{v'_j},$$

where  $u'_i \geq 3$  and  $v'_j \geq 4$ . If  $u'_i \equiv 4 \pmod{5}$  for some  $i$ , then by Theorem 1.5,  $-2 - 2 \cos 4\pi/5$  is a zero of  $h(P_{u'_i}, \mu)$ , which implies that the zero  $-2 - 2 \cos 4\pi/5$  of  $h(H, \mu)$  is of multiplicity more than  $a$ , a contradiction. Hence  $u'_i \not\equiv 4 \pmod{5}$  for  $i = 1, 2, \dots, s'$ . Therefore,  $H \in \mathcal{S}_2(a)$ .  $\square$

**Corollary.** *The set  $\mathcal{S}_2$  is adjointly closed.*

Now we are ready to determine the adjoint equivalence class of each graph  $G \in \mathcal{S}_2$ , and all adjointly unique graphs in  $\mathcal{S}_2$ . For this purpose, we need the following two results. Let

$$\mathcal{S}_3 = \left\{ aK_3 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j} \mid a \geq 0, v_j \geq 4, u_i \geq 2, u_i \text{ is even if } u_i \geq 6 \right\}.$$

**Lemma 2.8.** *Let  $G, H \in \mathcal{S}_3$ . If  $h(G, \mu) = h(H, \mu)$ , then  $G \cong H$ .*

**Proof.** Suppose that the result is not true. Then there exists a counterexample. Let  $G, H \in \mathcal{S}_3$ , where

$$G = aK_3 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j},$$

$$H = a'K_3 \cup \bigcup_{1 \leq i \leq s'} P_{u'_i} \cup \bigcup_{1 \leq j \leq t'} C_{v'_j}.$$

Suppose that  $h(G, \mu) = h(H, \mu)$ , but  $G \not\cong H$ . We may also assume that the result holds for graphs  $G', H' \in \mathcal{S}_3$  if  $v(G') < v(G)$ . Without loss of generality, let

$$u_1 \leq u_2 \leq \dots \leq u_s,$$

$$u'_1 \leq u'_2 \leq \dots \leq u'_{s'},$$

$$v_1 \leq v_2 \leq \dots \leq v_t,$$

$$v'_1 \leq v'_2 \leq \dots \leq v'_{t'}.$$

We shall show that  $a = a' = s = s' = t = t' = 0$ .

**Claim 1.**  $aa' = 0$ ,  $\{u_1, u_2, \dots, u_s\} \cap \{u'_1, u'_2, \dots, u'_{s'}\} = \emptyset$ , and  $\{v_1, v_2, \dots, v_t\} \cap \{v'_1, v'_2, \dots, v'_{t'}\} = \emptyset$ .

Claim 1 is equivalent to saying that  $G$  and  $H$  do not contain any isomorphic components. For example, assume that both  $G$  and  $H$  have components isomorphic to  $K_3$ . Let  $G'$  be the graph obtained from  $G$  by deleting a component isomorphic to  $K_3$ , and let  $H'$  be the graph obtained from  $H$  by deleting a component isomorphic to  $K_3$ . Observe that  $G', H' \in \mathcal{S}_3$  and  $h(G', \mu) = h(H', \mu)$ . Since  $v(G') < v(G)$ , we have  $G' \cong H'$  by assumption, which implies that  $G \cong H$ , a contradiction.

**Claim 2.**  $a = a' = 0$ .

By Lemma 2.6,  $a = c_3(G) = e(G) + R_1(G) - v(G)$  and  $a' = e(H) + R_1(H) - v(H)$ . By Lemma 2.2, we have  $a = a'$ . Since  $aa' = 0$ , the claim holds.

**Claim 3.**  $t = t' = 0$ .

Suppose that the claim is not true. Then without loss of generality, we may assume that  $t \geq 1$  and  $v_t > v'_t$ , if  $t' \geq 1$ . By Theorem 1.6,  $h(G, \mu)$  has a zero:

$$\alpha = -2 - 2 \cos \pi/v_t.$$

Since  $v'_i < v_t$  for  $1 \leq i \leq t'$ , by Theorem 1.6,  $\alpha$  is not a zero of  $h(C_{v'_i}, \mu)$ . Since  $u'_i$  is even when  $u'_i \geq 6$ , by Theorem 1.5,  $\alpha$  is not a zero of  $h(P_{u'_i}, \mu)$ . Hence  $\alpha$  is not a zero of  $h(H, \mu)$ , a contradiction. Thus, the claim holds.

**Claim 4.**  $s = s' = 0$ .

By Claim 2, we have  $s = R_1(G) = R_1(H) = s'$ . Suppose that  $s = s' > 0$  and  $u_s > u'_s$ . By Theorem 1.5,  $h(G, \mu)$  contains the following zero:

$$\beta = -2 - 2 \cos(2\pi/(u_s + 1)).$$

Since  $u'_i < u_s$  for  $i = 1, 2, \dots, s'$ , by Theorem 1.5,  $\beta$  is not a zero of  $h(P_{u'_i}, \mu)$ . Thus  $\beta$  is not a zero of  $h(H, \mu)$ , a contradiction.

For any graph  $G \in \mathcal{S}_2$ , we construct a graph  $\tilde{G}$  from  $G$  by the following operations until none of the components is isomorphic to  $P_{2n+1}$  ( $n \geq 3$ ) or  $D_4$ :

- (i) Replace each component  $P_{2n+1}$ , where  $n \geq 3$ , by two components  $P_n$  and  $C_{n+1}$ ;
- (ii) Replace each component  $D_4$  by  $C_4$ .

**Lemma 2.9.** For any graph  $G \in \mathcal{S}_2$ , we have

- (i)  $h(G, \mu) = h(\tilde{G}, \mu)$ ; and
- (ii)  $\tilde{G} \in \mathcal{S}_2 \cap \mathcal{S}_3$ .

**Proof.** Since  $h(P_{2n+1}, \mu) = h(P_n \cup C_{n+1}, \mu)$  for  $n \geq 3$  by Lemma 2.3(ii) and  $h(D_4, \mu) = h(C_4, \mu)$ , we have  $h(G, \mu) = h(\tilde{G}, \mu)$  by the definition of  $\tilde{G}$ .

It is clear that  $\tilde{G} \in \mathcal{S}_3$ . To show that  $\tilde{G} \in \mathcal{S}_2$ , it suffices to prove that  $\tilde{G}$  does not contain any component  $P_n$  with  $n = 2$  or  $n \equiv 4 \pmod{5}$ . By definition,  $\tilde{G}$  contains a component  $P_2$  iff  $G$  does. Notice that if  $n \equiv 4 \pmod{5}$ , then  $2n + 1 \equiv 4 \pmod{5}$ . By the definition of  $\tilde{G}$ ,  $\tilde{G}$  does not contain any such component  $P_n$  since  $G \in \mathcal{S}_2$ .  $\square$

Now we give a necessary and sufficient condition for two graphs  $G, H \in \mathcal{S}_2$  to be adjointly equivalent.

**Theorem 2.11.** For any graphs  $G, H \in \mathcal{S}_2$ ,  $h(G, \mu) = h(H, \mu)$  iff  $\tilde{G} \cong \tilde{H}$ .

**Proof.** By Lemma 2.9, the sufficiency holds. Now let  $h(G, \mu) = h(H, \mu)$ . By Lemma 2.9,  $\tilde{G}, \tilde{H} \in \mathcal{S}_3$  and  $h(\tilde{G}, \mu) = h(\tilde{H}, \mu)$ . Then by Lemma 2.8,  $\tilde{G} \cong \tilde{H}$ .  $\square$

**Corollary.** For any graph  $G \in \mathcal{S}_2$ ,

$$[G]_h = \{H \in \mathcal{S}_2 \mid \tilde{H} \cong \tilde{G}\}.$$

There is a numerical method to judge whether  $G \sim_h H$  for two graphs  $G$  and  $H$  in  $\mathcal{S}_2$ . For a graph  $G \in \mathcal{S}_2$ , there are nonnegative integers  $a, b, n_i, m_i$ , with  $n_i = 0$  if  $i \equiv 4 \pmod{5}$  and  $\sum_{i \geq 3} (n_i + m_{i+1})$  finite such that

$$G = aK_3 \cup bD_4 \cup \bigcup_{i \geq 3} n_i P_i \cup \bigcup_{i \geq 4} m_i C_i.$$

Then by the definition of  $\tilde{G}$ , we have

**Lemma 2.10.**  $\tilde{G} = a'K_3 \cup \bigcup_{i \geq 3} n'_i P_i \cup \bigcup_{i \geq 4} m'_i C_i$ , where  $a' = a$  and

$$n'_i = 0, \quad i \in \{4\} \cup \{7, 9, 11, \dots\},$$

$$n'_i = \sum_{k \geq 0} n_{2^k(i+1)-1}, \quad i \in \{3, 5\} \cup \{6, 8, 10, \dots\},$$

$$m'_i = m_i + \sum_{k \geq 1} n_{2^k i - 1}, \quad i \geq 5,$$

$$m'_4 = b + m_4 + \sum_{k \geq 1} n_{2^{k+2}-1}.$$

Given two graphs  $G$  and  $H$  in  $\mathcal{S}_2$ , it is easy to check by Lemma 2.10 whether  $\tilde{G} \cong \tilde{H}$ , and then answer immediately the question whether  $G \sim_h H$ . However it is not easy to list all graphs in  $[G]_h$ .

Now we are in a position to find the adjointly unique graphs in  $\mathcal{S}_2$ . Let  $G \in \mathcal{S}_2$ , where

$$G = aK_3 \cup bD_4 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j}.$$

By Theorem 2.2,  $G$  is not adjointly unique if

- (i)  $b \geq 1$ ; or
- (ii)  $v_j = 4$  for some  $j$ ; or
- (iii)  $u_i \geq 7$  and  $u_i$  is odd for some  $i$ ; or
- (iv)  $v_j = u_i + 1$  for some  $i$  and  $j$ .

We shall show that  $G$  is adjointly unique otherwise.



**Theorem 2.12.** Let  $G \in \mathcal{S}_2(a)$ , i.e.,

$$G = aK_3 \cup bD_4 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j},$$

for nonnegative integers  $a, b, u_i$  and  $v_j$  with  $u_i \geq 3$ ,  $u_i \not\equiv 4 \pmod{5}$  and  $v_j \geq 4$ . Then  $G$  is adjointly unique iff  $b = 0$ ,  $v_j \geq 5$ ,  $u_i$  is even when  $u_i \geq 6$  and

$$\{u_1 + 1, u_2 + 1, \dots, u_s + 1\} \cap \{v_1, \dots, v_t\} = \emptyset.$$

**Proof.** The necessity is clearly true. We prove just the sufficiency. Let  $H$  be a graph with  $h(H, \mu) = h(G, \mu)$ . By Theorem 2.10,  $H \in \mathcal{S}_2(a)$ . By Theorem 2.11,  $\tilde{H} \cong \tilde{G}$ . By definition, we have  $G \cong \tilde{G}$ , which implies that  $\tilde{H} \cong G$ .

If  $H$  contains a component  $C_4$  or  $D_4$ , then  $\tilde{H}$  contains a component  $C_4$  by the definition of  $\tilde{H}$ , a contradiction. Assume that  $H$  contains a component  $P_{2n+1}$ , where  $n \geq 3$ . From the definition of  $\tilde{H}$ , we see that  $\tilde{H}$  and hence  $G$  contains a pair of components  $P_m$  and  $C_{m+1}$ , contradicting the condition that  $G$  satisfies. Hence  $H$  does not contain any  $P_{2n+1}$  as a component, where  $n \geq 3$ . Therefore  $H \cong \tilde{H}$ , which implies that  $H \cong G$ .  $\square$

In particular, we have

**Corollary 1.** Any graph in the following set is adjointly unique:

$$\left\{ \bigcup_{1 \leq i \leq t} C_{v_i} \mid t \geq 1, v_i = 3 \text{ or } v_i \geq 5 \right\}.$$

**Corollary 2.** Any graph in the following set is adjointly unique:

$$\left\{ aK_3 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \mid s \geq 0, u_i \geq 3, u_i \not\equiv 4 \pmod{5} \text{ and } u_i \text{ is even when } u_i \geq 6 \right\}.$$

Du [3] also obtained the result of Corollary 1. Liu and Bao [14] showed that  $\bigcup_{1 \leq i \leq t} C_{v_i}$  is adjointly unique for  $v_i \geq 5$ .

### 2.3. Open problems

Let

$$\mathcal{S}_4 = \left\{ aK_3 \cup bD_4 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j} \mid a, b \geq 0, u_i \geq 3, v_j \geq 4 \right\}.$$

Observe that  $\mathcal{S}_2$  is a proper subset of  $\mathcal{S}_4$ . Is  $\mathcal{S}_4$  adjointly closed? It is clear that while  $P_4 \in \mathcal{S}_4$ ,  $K_1 \cup K_3 \notin \mathcal{S}_4$ , but  $K_1 \cup K_3 \sim_h P_4$  by Lemma 2.3(i). Thus  $\mathcal{S}_4$  is not adjointly closed. Then a problem arises.

For a set  $\mathcal{S}$  of graphs, let

$$\min_h(\mathcal{S}) = \bigcup_{G \in \mathcal{S}} [G]_h.$$

Observe that  $\min_h(\mathcal{S})$  is adjointly closed and  $\mathcal{S} \subseteq \min_h(\mathcal{S})$ . The set  $\mathcal{S}$  is adjointly closed iff  $\mathcal{S} = \min_h(\mathcal{S})$ . Moreover, for any set  $\mathcal{G}$  of graphs, if  $\mathcal{S} \subseteq \mathcal{G}$  and  $\mathcal{G}$  is adjointly closed, then  $\min_h(\mathcal{S}) \subseteq \mathcal{G}$ . Hence,  $\min_h(\mathcal{S})$  is called the *adjoint closure* of  $\mathcal{S}$ .

**Problem.** Determine  $\min_h(\mathcal{S}_4)$ .

Since  $K_1 \cup K_3 \sim_h P_4$  by Lemma 2.3(i), we have

$$\min_h(\mathcal{S}_4) \supseteq \left\{ rK_1 \cup aK_3 \cup bD_4 \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j} \mid r, a, b, s, t \geq 0, r \leq a, u_i \geq 3, v_j \geq 4 \right\}.$$

Since  $K_1 \cup C_{n-1} \sim_h Y_n$  for  $n \geq 5$  by Lemma 2.3(iii), we have

$$\min_h(\mathcal{S}_4) \supseteq \left\{ rK_1 \cup aK_3 \cup bD_4 \cup \bigcup_{1 \leq i \leq m} Y_{r_i} \cup \bigcup_{1 \leq i \leq s} P_{u_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j} \mid r, a, b, s, t, m \geq 0, m + r \leq a, r_i \geq 5, u_i \geq 3, v_j \geq 4 \right\}. \quad (9)$$

**Conjecture 1.** The set equality (9) holds.

Let

$$\mathcal{S}_5 = \left\{ rK_1 \cup \bigcup_{1 \leq j \leq t} C_{v_j} \mid r, t \geq 0, v_j \geq 4 \right\}.$$

Since  $D_4 \sim_h C_4$  and  $K_1 \cup C_{n-1} \sim_h Y_n$  for  $n \geq 5$  by Lemma 2.3, we have

$$\min_h(\mathcal{S}_5) \supseteq \left\{ rK_1 \cup bD_4 \cup \bigcup_{1 \leq i \leq m} Y_{r_i} \cup \bigcup_{1 \leq j \leq t} C_{v_j} \mid r, b, m, t \geq 0, r_i \geq 5, v_j \geq 4 \right\}. \quad (10)$$

**Conjecture 2.** The set equality (10) holds.

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