# Introduction to general topology

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# **Chapter one :** Metric spaces

Outline:

- Definition of metric spaces, examples
- Continuous functions
- Open sets and closed sets

**1.1 Example** Let **R** be the set of all real numbers. For any *x*, *y* in **R**, define d(x, y)=|x-y|. Then

- i)  $d(x, y) \ge 0;$
- ii) d(y, x)=0 if and only if \_\_\_\_\_;
- iii)  $d(x, y) \__d(y, x);$
- iv) d(x, y) = d(x, z) + d(z, y).

**1.2 Definition** A metric space is an ordered pair  $(M, \rho)$  consisting a set M together with a function  $\rho: M \times M \rightarrow R$  such that for any  $x, y, z \in M$ :

M-a)  $\rho(x, y) \ge 0;$ M-b)  $\rho(y, x)=0$  if and only if x = y = ;M-c)  $\rho(x, y) = \rho(y, x);$  (Symmetric ) M-d)  $\rho(x, y) = \rho(x, z) + \rho(z, y).$  (Triangle Inequality )

If all conditions except M-b are satisfied, the function  $\rho$  is called a <u>pseudometric</u> on M, and (M,  $\rho$ ) is called a <u>pseudometric space</u>.

**Remark**: We may use different symbols for the function  $\rho$ . For instance, d(*x*, *y*),  $\lambda(x, y)$  etc.

## **1.3 Examples**

- a) (**R**, d) is a metric space, where **R** is the set of all real numbers and d(x, y) = |x-y|.
- b) Let  $\mathbf{R}^n = \{ (x_1, x_2, \dots, x_n): x_i \text{ 's are real numbers } \}$ . Define

$$\rho((x_1, x_2, ..., x_n), (y_1, y_2, ..., y_n)) = \sqrt{\sum_{k=1}^n (x_k - y_k)^2}.$$

Then  $(\mathbf{R}^n, \rho)$  is a metric space and this function  $\rho$  is called the usual metric on  $\mathbf{R}^n$ .

c) For **R**<sup>2</sup>, the function  $\rho_1((x_1, y_1), (x_2, y_2)) = |x_1 - x_2| + |y_1 - y_2|$  is a metric.

d) Let  $(M, \rho)$  be a metric space and A be a subset of M. Then  $(A, \rho)$  is also a metric space, called the subspace of M.

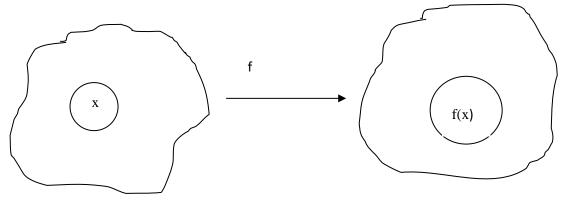
e) The discrete metric : Let X be a set. Define  $\rho(x, x)=0$  and  $\rho(x, y)=1$  for  $x\neq y$ . Then  $\rho$  is a metric on X, called the **discrete metric**.

**1.4 Definition** A function f:  $M \rightarrow N$  from a metric space  $(M, \rho)$  to a metric space  $(N, \sigma)$  is *continuous* at appoint  $x \in M$  if for any number  $\varepsilon > 0$ ,

there is a positive number  $\delta > 0$  such that

 $\sigma(f(x), f(y)) < \varepsilon$  whenever  $\rho(x, y) < \delta$ .

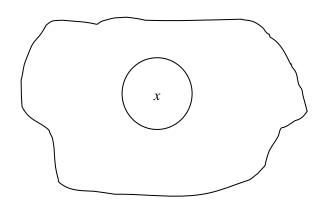
The function is called a continuous function if it is continuous at every point of X.



**1.5 Definition** Let  $(M, \rho)$  be a metric space and  $x \in M$ . For each number  $\varepsilon > 0$ , let

$$U(x, \varepsilon) = \{ y \in M: \rho(x, y) \le \varepsilon \},\$$

called the  $\varepsilon$ -disk (or  $\varepsilon$ -open ball) about *x*.

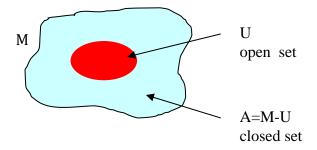


# Exercise

- 1) In **R**, determine the set U(0, 4).
- 2) In the Example 1.3 e), find U(x, 1) and U(x, 2).

**1.6 Definition** A subset V of a metric space  $(M, \rho)$  is an **open set** if for each x in U, there is an  $\varepsilon > 0$  such that  $U(x, \varepsilon) \subseteq V$ .

A subset A is called a **closed set** if it's complement  $A^c=M - A$  is open.



For example, in **R**, the set  $U=(0, 1)\cup(4, 5)$  is open.

The set [0, 1] is not open in **R**.

**1.7 Theorem** (properties of open sets ) In any metric space  $(M, \rho)$  we have:

- 1) Any union of open sets is open.
- 2) Any finite intersection of open sets is open.
- 3) The empty set and M are open.

#### Proof

## **1.8 Examples**

a) In **R**, a subset A is open if and only if it is the disjoint union of open intervals, i.e.

A= $\bigcup_{k=1}^{\infty} (a_k, b_k)$ , where  $(a_k, b_k)$  are disjoint.

b) Every disk  $U(x, \varepsilon)$  is open. (Exercise)

c) If (X, d) is a **discrete metric space**, then every set is open. In fact, for any subset A and

for any x in A,  $U(x, 1) = \{x\} \subseteq A$ .

d) Every finite set is closed.

**1.9 Theorem** A function  $f: M \to N$  from a metric space  $(M, \rho)$  to a metric space  $(N, \sigma)$  is *continuous* at  $x_0$  if and only if for any open set W of N containing  $f(x_0)$ , there is an open set U containing  $x_0$  such that  $f(U) \subseteq W$ .

# Proof

Recall that if f: X  $\rightarrow$  Y is a function, then for any subset B $\subseteq$ Y,

 $f^{-1}(B) = \{ x \in X : f(x) \in B \}$ , called the inverse image of B under f.

**1.10 Corollary** function f:  $M \rightarrow N$  from a metric space  $(M, \rho)$  to a metric space  $(N, \sigma)$  is continuous if and only if for any open set W of N,

$$f^{-1}(W) = \{ x \in M : f(x) \in W \}$$

is open.

## Hands-On- Exercise

Let U={ (x, y): x>0, y>0 }. Show that U is an open set of  $\mathbf{R}^2$  with the usual metric.

## Summary

- A metric space is an ordered pair (M, ρ) consisting of a set M and a function
   ρ: M×M→R satisfying the four conditions.
- A subset A is an open set if \_\_\_\_\_
- Every disk is an open set
- The union of any open sets is \_\_\_\_\_\_
- Any finite intersection of open sets is open

# **Exercise 1**

1. Verify that the following function  $\rho$  is a metric on  $\mathbf{R}^n$ 

$$\rho(x, y) = \max\{|x_1-y_1|, |x_2-y_2|, ..., |x_n-y_n|\}.$$

- 2. Let C([0, 1]) be the set of all of all continuous functions on the interval [0, 1].
- (i) Verify that the following function  $\sigma$  is a metric on C([0,1]).

$$\sigma(f,g) = \int_0^1 |f(x) - g(x)| dx$$

(ii) Verify that the following function  $\eta$  is a pseudo metric on C([0,1])

$$\eta(f,g) = |f(1/2) - g(1/2)|.$$

3. Show that every disk  $U(x, \varepsilon)$  in a metric space is an open set.

[Hint: For any  $y \in U(x, \varepsilon)$ ,  $U(y, \varepsilon')$  is contained in  $U(x, \varepsilon)$ , where  $\varepsilon' = \varepsilon - d(x, y)$ ]

4. A mapping f from a metric space  $(M, \rho)$  to metric space  $(N, \sigma)$  is an **isometry** if f is a bijection and  $\rho(x, y) = \sigma(f(x), f(y))$  for all x, y in M. Two spaces M and N are isometric if there is an isometry between them.

# Prove

- (i) Every isometry f and its inverse  $f^{-1}$  are continuous.
- (ii) The subspaces [0, 1] and [a, b] ( a < b) of **R** are isomertric.

5. Let  $(M, \rho)$  be a metric space. Show that a subset A is closed iff whenever every disk about *x* meets A then *x* is in A.

6. Let  $\rho$  be a metric on M. Show that the following functions  $\rho_1$  and  $\rho_2$  are also metrics on M.

(i) 
$$\rho_1(x, y) = 2 \rho(x, y)$$
.

(ii)  $\rho_2(x, y) = \min\{ 1, \rho(x, y) \}.$ 

# 7\*(Optional)

Let Q be the set of all rational numbers and p be a prime number. For each x in Q, define

 $|x|_p=0$  if x=0 and  $|x|_p=p^{-k}$  if  $x=p^k\frac{m}{n}$ , where m and n are integers not divisible by p.

Define  $\rho(x, y) = |x-y|_p$  for any x, y in Q.

- (a) Find  $|15/9|_5$  and  $|2.6|_7$ .
- (b) Show that  $|xy|_p = |x|_p |y|_p$ .
- (c) Show that  $|x+y|_p \le \max\{ |x|_p, |y|_p\}.$
- [hint: Assume  $|\mathbf{x}|_p = \max\{ |\mathbf{x}|_p, |\mathbf{y}|_p\}$ ]
- (d) Show that  $\rho(x, y) = |x-y|_p$  defines a metric on Q. This called the p-adic metric on Q.

# Chapter two: Topological Spaces

Outline:

- Definition of topological spaces, examples
- Closure operator
- Interior operator
- Neighbourhoods,
- Bases and subbases

# **2.1 Topological spaces**

**2.1.1 Definition** A topology on a set X is a collection  $\tau$  of subsets of X such that the following conditions are satisfied:

- T-1) Any union of members of  $\tau$  is a member of  $\tau$ ; (closed under arbitrary unions)
- T-2) any finite intersection of members of  $\tau$  is a member of  $\tau$ ;

( closed under finite intersections)

T-3)  $\varnothing$  and X are members of  $\tau$ .

If  $\tau$  is a topology on X, the members of  $\tau$  are called **open sets** of X.

The pair  $(X, \tau)$  (or just X) is called a topological space.

If  $\tau_1 \subseteq \tau_2$  are topologies, then  $\tau_2$  is said to be finer than  $\tau_1$ .

# 2.1.2 Example

a) Let  $(M, \rho)$  be a metric space. The set of all open sets of M form a topology, called the metric topology and denoted by  $\tau_{\rho}$ .

If  $(X, \tau)$  is a topological space such that  $\tau = \tau_{\rho}$  for some metric  $\rho$ , then  $(X, \tau)$  is called **metrizable.** 

b) The metric topology generated by the usual metric on any subset of  $\mathbb{R}^n$  is called the usual topology. Hereafter, when a topology is used on a subset of  $\mathbb{R}^n$  without mention it is assumed to be the usual topology.

c) Let X be any set. The power set P(X) (all subsets of X) is a topology on X, called the **discrete topology**. Discrete topology is the finest topology on X.

d) For any set X,  $\tau = \{ \emptyset, X \}$  is a topology, called the **indiscrete topology**. It is the coarsest topology on X.

e) Sierpinski topology.

Let X={ 0, 1} and let  $\tau = \{ \emptyset, \{1\}, X \}$ . Then  $\tau$  is a topology. The space  $(X, \tau)$  is called the Sierpinski space.

**2.1.3 Definition** If  $(X, \tau)$  is a topological space and  $A \subseteq X$ , then A is a closed set if its complement X - A is open.

## 2.1.4 Examples

a) Every closed interval [a, b] is closed in R.

b) In the discrete topological space, every subset is closed.

c) In the Sierpinski space, the closed sets are  $\emptyset$ ,  $\{0\}$  and X

# 2.1.5 Theorem

C-1) Any intersection of closed sets is closed;

C-2) any finite intersection of closed set is closed;

C-3) the empty set and X are closed.

**2.1.6 Definition** The <u>closure</u> of a subset A of a topological space  $(X, \tau)$  is defined to be

 $A = cl(A) = \bigcap \{ K \subseteq X \mid K \text{ is closed and } A \subseteq K \}.$ 

Since any intersection of closed sets is closed, the closure of a subset is closed and is the smallest closed set containing the set.

## Remark.

- 1)  $A \subseteq B$  implies  $cl(A) \subseteq cl(B)$ .
- 2) cl(X)=X.
- 3) In the discrete space, the closure of any set A is A.
- 4) In the indiscrete space X, cl(A)=X for any nonempty set A.

2.1.7 Theorem Let A, B and E be subsets of a topological space X. Then

K-1)  $E \subseteq cl(E);$ 

- K-2) cl(cl(E))=cl(E);
- K-3)  $cl(A \cup B)=cl(A) \cup cl(B);$
- K-4) cl(a)=a;
- K-5) E is closed iff cl(E)=E.

Proof.

**2.1.8 Definition** Let A be a subset of a topological space X. The **interior** of A in X is the set

$$int(A)=A^{\circ}=\cup\{ U\subseteq A: U \text{ is open } \}.$$

# Remark

- 1) int(A) is the largest open set <u>contained</u> in A.
- 2)  $A \subseteq B$  implies  $int(A) \subseteq int(B)$ .

3) int(A)=X-cl(X-A), cl(A)=X-int(X-A). (Exercise)

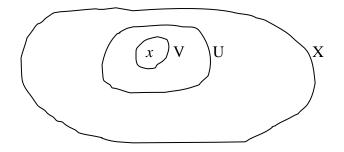
2.1.9 Theorem Let A, B and E be subsets of a topological space X. Then

- I-1)  $int(E) \subseteq E$ ;
- I-2) int(int(E))=int(E);
- K-3)  $int(A \cap B)=int(A) \cap int(B);$
- K-4) int(X)=X;
- K-5) E is open iff int(E)=E.

Proof (Exercise)

## 2.2 Neighbourhoods

**2.2.1 Definition** A neighbourhood U of a point x in a topological space is a subset that contains an open V containing x.



A neighbourhood that is open is called an open neighbourhood. The set of all neighbourhoods of x is denoted by  $N_x$ , called the neighbourhood system at x.

**2.2.2 Theorem** Let *x* be any point of a topological space X. then

N-1) for any U in  $N_x$ , x is in U;

N-2) if U,  $V \in \mathbf{N}_x$ , then  $U \cap V \in \mathbf{N}_x$ ;

N-3) if  $U \in \mathbf{N}_x$  and  $U \subseteq V$  then  $V \in \mathbf{N}_x$ ;

N-4)  $G \subseteq X$  is open if and only if G is a neighbourhood of every point in G.

Proof

**2.2.3 Definition** A neighbourhood <u>base</u> at *x* in a topological space X is a collection  $\mathbf{B}_x$  of neighbourhoods of *x* such that for any U in  $\mathbf{N}_x$ , there is V in  $\mathbf{B}_x$  such that  $V \subseteq U$ .

## 2.2.4 Examples

1) For any point x in a topological space X, all the open neighbourhoods of x form a neighbourhood base at x.

2) In any metrizable space X, all disks about x form a neighbourhood base at x.

Also, all disks U(x, r) with r a positive rational number form a neighbourhood base at x.

Thus every x has a <u>countable neighbourhood base</u>.

3) If X is a discrete space, then for each x,  $B_x = \{\{x\}\}\$  is a neighbourhood base at x.

**Exercise** Show that for any x in R, {(x-1/n, x+1/n): n in N } is a nbhd base at x.

**2.2.5 Definition** (Accumulation points) An **accumulation point** (cluster point) of a set A in a topological space X is a point x of X such that every neighbourhood of x contains a point of A, other than x. The set A' of all cluster points of A is called the <u>derived</u> set of A.

For example, the accumulation points of (0, 1) in R form the set [0, 1].

2.2.6 Theorem For any set A in a topological space,

 $cl(A) = A \cup A^{}$ .

Proof

## 2.3 Bases and subbases

Sometimes using a subfamily to define a topology is easier than directly describing the topology.

**2.3.1 Definition** Let  $(X, \tau)$  be a topological space. A base **B** for X is a collection  $B \subseteq \tau$ , such that every member U of  $\tau$  is a union of some members of **B**,

that is for each U in  $\tau$ , there exist {  $V_i : i \in I$ }  $\subseteq B$ , such that U= $\bigcup \{V_i : i \in I\}$ .

# 2.3.2 Examples

a) In R, all the open intervals form a bases of the usual topology.

In any metrizable space, all the open disks form a bases.

- b)  $\{\{x\}: x \in X\}$  is a bases of the discrete space.
- c) In R, all the intervals (s, r) with s, r rational numbers form a bases of the usual topology.

2.3.3 Theorem A collection B of subsets of X is the base of a topology on X if and only if

1)  $X=\bigcup\{V: V\in \mathbf{B}\};$ 

2) if  $V_1, V_2 \in \mathbf{B}$  and  $x \in V_1 \cap V_2$ , then there is V in B such that

 $x \in \mathbf{V} \subseteq \mathbf{V}_1 \cap \mathbf{V}_2.$ 

Proof

**2.3.4 Example** The family  $B = \{ [a, b] : a < b, a, b are in R \}$  satisfies the conditions of Theorem 3, so it is the base of a topology. The set R with this topology is called the Sorgenfrey line. The topology of the Sogenfrey line is strictly finer than the usual topology.

**2.3.5 Definition** A subbase C for a topology  $\tau$  on a set X is a collection of subsets of X such that all the <u>finite intersections</u> of members of C form a base of  $\tau$ .

## 2.3.6 Example

C={  $(a, +\infty)$ :  $a \in \mathbb{R}$  }  $\cup$ {  $(-\infty, b)$ :  $b \in \mathbb{R}$  } is a subbase of the usual topology on  $\mathbb{R}$ .

## Summary

• A topology τ on a set X is a collection of subsets of X which contains \_\_\_\_\_ and X and is closed under taking arbitrary \_\_\_\_\_\_ and finite \_\_\_\_\_.

Members of  $\tau$  are called \_\_\_\_\_ sets

- A subset A of (X,  $\tau$ ) is a closed set if \_\_\_\_\_
- The closure cl(A) of set A is \_\_\_\_\_
- The interior int(A) of set A is \_\_\_\_\_
- A cluster point of A, the derive of A
- A base, subbase of a topology
- Neighbourhoods of a point

## Exercise 2

1. Let N be the set of all natural numbers. Let  $\tau$  be the set of all subset U of N such that N-U is finite.

- (i) Show that  $\tau$  is a topology on N. This is called the co-finite topology.
- (ii) Find  $cl(\{1, 2, 3\})$  and cl(E), where E is the set of all even numbers.
- (iii) Let D be the set of all odd numbers. Is  $cl(D \cap E) = cl(D) \cap cl(E)$  true?
- 2. Let  $\tau$  and  $\sigma$  be two topologies on set X. Show that  $\tau \cap \sigma$  is also a topology on X.
- 3. Prove for any subset A of a topological space X,

int(A)=X-cl(X-A) and cl(A)=X-int(X-A) hold.

- 4. Use the results in exercise 3 to prove Theorem 2.1.9.
- 5. Show that x is in cl(A) if and only if every neighbourhood of x intersects A.
- 6. Let A be a fixed subset of a set X.
- a) Show that  $\tau = \{ U \subseteq X : A \subseteq U \}$  is a topology on X.
- b) Describe the closure of a subset B with respect to the topology  $\tau$  in a).

7. Call a subset U of  $R^2$  radially open if it contains an open line segment in each direction about each of its point.

a) Show that all the radially open set of  $R^2$  form a topology on  $R^2$ . The plane with this topology is called the radial plane.

b) Compare this topology with the usual topology.

8.

a) Show that for any open set U in a topological space X,

 $cl(int(cl(U))=cl(U). ( or U^{-o}=U^{-})$ 

b) Using a) to show that for any set A, there are at most 14 different sets in the following sequences

where  $A^c=X-A$ , B<sup>-</sup> is the closure of B.

9. Let P(X) denote the power set of X and  $\sigma: P(X) \rightarrow P(X)$  be a mapping such that for any A, B in P(X),

- 1)  $\sigma(a)=a;$
- 2)  $A \subseteq \sigma(A)$  for all subset A;
- 3)  $\sigma(\sigma(A))=\sigma(A);$
- 4)  $\sigma(A \cup B) = \sigma(A) \cup \sigma(B)$ .

Define  $\tau = \{ U: \sigma(X-U)=X-U \}.$ 

Show that  $\tau$  is a topology on X and for any subset A,  $cl(A)=\sigma(A)$  holds.

\*A mapping  $\sigma: P(X) \rightarrow P(X)$  satisfying 1) - 4) is called a closure operator on X.

# **Chapter three: Continuous functions**

Outline:

- Definition
- Example
- Equivalent conditions
- Homeomorphisms
- Subspaces

# **3.1 Continuous functions**

We now define continuous function from a topological space to another space. Recall by 1.10 Corollary that a function f:  $(M, d) \rightarrow (N, \sigma)$  between two metric space is continuous if and only if for any open set W of N, f<sup>-1</sup> (W)={ x \in M: f(x) \in W } is open. Now we define continuous functions between topological spaces.

# 3.1.1 Definition

A function f:  $X \rightarrow Y$  from a topological space  $(X, \tau)$  to a topological space  $(Y, \sigma)$  is continuous at a point  $x_0$  in X if for any nbhd( abbreviation for neighbourhood) V of  $f(x_0)$ there is a nbhd U of  $x_0$  such that  $f(U) \subseteq V$ .

If f is continuous at every point in X, it is said to be continuous( everywhere).

**3.1.2 Theorem** Let  $f: X \rightarrow Y$  be a function from a topological space X to a topological space Y. Then the followings are equivalent:

- a) f is continuous;
- b) for each open set V of Y,  $f^{-1}(V)$  is open in X;
- c) for each closed set K of Y,  $f^{-1}(K)$  is closed in X;
- d) for each subset A of X,  $f(cl_X(A)) \subseteq cl_Y(f(A))$ .
- e) for each subset B of Y,  $cl_X(f^{-1}(B))) \subseteq f^{-1}(cl_Y(B))$ .

**Proof:** We prove the theorem by showing a)  $\Rightarrow$  b)  $\Rightarrow$  c)  $\Rightarrow$  d) $\Rightarrow$ e)  $\Rightarrow$ a).

# 3.1.3 Example

If X is a discrete space, then every function from X to another topological space is continuous.

Any function from a topological space to an indiscrete space is continuous.

**3.1.4 Proposition** Let  $f: X \to Y$  be a function from a topological space X to a topological space Y and let **B** be a **base** of Y. Then f is continuous iff for any open set V in **B**,  $f^{-1}(V)$  is open.

# Proof

## 3.1.5 Example

The function f:  $R \rightarrow R$ , defined by f(x)=|x|, is continuous with respect to the usual topology.

**3.1.6 Theorem** If  $f: X \to Y$  and  $g: Y \to Z$  are continuous functions between topological spaces, then the composition  $g \circ f: X \to Z$  is continuous. **Proof** (exercise)

**3.1.7 Definition** Let  $f: X \to Y$  be a function from a topological space X to a topological space Y.

a) f is called an open function if for any open set U of X, f(U) is an open set of Y.b) f is called a closed function if for any closed K of X, f(K) is a closed set of Y.c) f is called a clopen function if it is both open and closed.

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**3.1.8 Theorem** A function  $f: X \rightarrow Y$  between topological spaces is open if and only if for any open set U in a base **B** of X, f(U) is open.

Proof

# 3.1.9 Examples

a) The function f:  $R \rightarrow R$  defined by f(x)=x+1 is both open and closed.

b) The function g:  $R \rightarrow R$  defined by  $g(x)=x^2$  is continuous that is not open. To see this, let U=(-1, 1). Then U is open, but g((-1, 1))=[0, 1) which is not open.

c) Every function from a space to a discrete space is both open and closed. Thus an open (closed) function need not be continuous.

# Exercise

Consider the continuous function f:  $R \rightarrow R$  given by f(x)=|x|. Is f open? Can you

# 3.2 Homeomorphisms

**3.2.1 Definition** A function  $f: X \rightarrow Y$  between two topological spaces is called an homeomorphism if it is a bijection and both f and  $f^{-1}$  are continuous. Two spaces X and Y are homeomorphic if there is a homeomorphism between them.

# 3.2.2 Remark

a) Given two topological spaces X and Y, one often wants to know whether the two spaces are homeomorphic. For example,

- i) are R and  $R^2$  homeomorphic?
- ii) are Q homeomorphic to R?

In order to prove two spaces are homeomorphic, we need to define a homeomorphism between them.

b) A property p of topological spaces is called a topological property if a space X has property p, then every space homeomorphic to X also has property p. One method of proving two spaces X and Y are <u>not homeomorphic</u> is to find a topological property satisfied by X but not satisfied by Y.

# 3.2.3 Example

The space X=(0, 1) is homeomorphic to any space Y=(a, b) with

a<br/>b, where both X and Y have the metric topology induced by the usual metric.<br/>To show this, we define f:  $X \rightarrow Y$  by f(x)=a+x(b-a) for each x in X. Then f is bijective. The<br/>inverse f<sup>-1</sup>:  $Y \rightarrow X$  sends y in (a, b) to (y-a)/(b-a) for y in Y. Thus both f and its inverse are<br/>continuous.

**3.2.4 Example** The space Q of rational numbers ( with the metric topology induced by the usual metric) is not homeomorphic to R. This is because Q is a countable set and R is not countable.

**3.2.5 Proposition** If X is homeomorphic to Y and Y is homeomorphic to Z, then X is homeomorphic to Z. **Proof** 

**3.2.6 Theorem Let** f:  $X \rightarrow Y$  be a bijection between two topological spaces. Then the following statements are equivalent:

a) f is an homeomorphism;

b) for any  $G \subseteq X$ , f(G) is open in Y iff G is open in X;

c) for any  $F \subseteq X$ , f(F) is closed in Y iff F is closed in X;

d) for any  $E \subseteq X$ ,  $f(cl_X(E))=cl_Y(f(E))$ .

e) f is clopen.

Proof

# 3.3 Subspaces

**3.3.1 Definition** Let  $(X, \tau)$  be a topological space and A be a subset of X. The collection  $\tau' = \{ U \cap A : U \in \tau \}$  is a topology on A, called the relative topology for A. A subset of X equipped with the relative topology is called a **subspace** of  $(X, \tau)$ .

# 3.3.2 Examples

a) The real line R with the usual topology is a subspace of  $R^2$ .

b) Let Z be the set of all integers. As a subspace of R, Z inherits the discrete topology.

c) Any subspace of a discrete space is discrete, and any subspace of a indiscrete space is indiscrete.

## Exercise

Show that the function  $i_A: A \rightarrow X$  from a subspace A of X into X defined by  $i_A(x)=x$  is continuous.

**3.3.3 Theorem** Let A be a subspace of a topological space X. Then

- a)  $H \subseteq A$  is open in A iff  $H=A \cap U$  for some open set U of X;
- b)  $F \subseteq A$  is closed in A iff  $H=A \cap K$  for some closed set K of X;
- c) for any  $E \subseteq A$ ,  $cl_A(E) = A \cap cl_X(E)$ ;
- d) if  $\mathbf{B}_x$  is a nbhd base for x in X, then  $\{U \cap A: U \in \mathbf{B}_x\}$  is a nbhd base for x in A;
- e) if B is a base of X, then  $\{U \cap A: U \in B\}$  is a base for A.

**Proof**:

**Remark** Note that  $int_A(E)=A \cap int_X(E)$  need not be true for all subsets E of A. For example, let  $X=R^2$ , A=E= the ox-axis. Then  $int_A(E)=E$ , while  $int_X(E) \cap A=\emptyset \cap A=\emptyset$ .

If f:  $X \rightarrow Y$  is a function and A is a subset of X, then f restricts to a function from A to denoted by  $f|_A$ :  $A \rightarrow Y$  such that  $f|_A(x)=f(x)$  for any x in A.

**3.3.4 Theorem** Let  $f: X \to Y$  be a continuous functions between two topological spaces. Then for any subspace A of X, the restriction function  $f|_A: A \to Y$  is continuous.

**Exercise** Prove Theorem 3.3.4.

## Summary

- A function f: X→Y between two topological spaces is continuous at a point x<sub>0</sub> in X if \_\_\_\_\_\_
- A function f:  $X \rightarrow Y$  is called a continuous function if it is continuous
- f: X→Y is continuous iff for any open set V of Y, \_\_\_\_\_ is an open set of X
- f: X→Y is continuous iff for any closed set V of Y, \_\_\_\_\_ is a closed set of X

- $f: X \rightarrow Y$  is continuous iff for any subset A of X,  $cl_Y(f(A) \_ f(cl_X(A))$
- $f: X \rightarrow Y$  is continuous iff for any subset B of Y,  $cl_X(f^{-1}(B)) \_ f^{-1}(cl_Y(B))$
- A subset A of a topological space X equipped with the \_\_\_\_\_\_ topology is called a subspace of X.

# Exercise 3

1. Prove Theorem 3.1.6.

2. Show that  $f: X \rightarrow Y$  is continuous iff for any subset B of Y,  $f^{-1}(int_Y(B)) \subseteq int_X f^{-1}(B).$ 

[hint: use Theorem 3.1.2 and note that  $int_Y(B)=B$  if B is open ]

3. Let A be a subspace of a topological space X and g:  $Z \rightarrow A$  be a function from a space Z to A. Show that g is continuous if and only if the composition  $i_{A \circ}$  g:  $Z \rightarrow X$  is continuous.

4. Prove:

(a) the composition of two open functions is an open function;

(b) the composition of two closed functions is a closed function.

5.. Give a bijective continuous function  $f: X \rightarrow Y$  such that it's inverse  $f^1: Y \rightarrow X$  is not continuous.

6. Let  $f: X \rightarrow Y$  be a homeomorphism.

(a) Show that if X is a discrete space then Y is discrete.

(b) Show that if X is indiscrete then Y is indiscrete.

# **Chapter four: Cartesian product and quotient space**

Outline:

- (finite )Cartesian product
- Example
- Quotient spaces

In this lesson, we study more methods of constructing new topological spaces from given ones.

# 4.1 Cartesian product(finite)

Let  $X_1, X_2, ..., X_n$  be sets. The Cartesian product of  $X_i$ 's is defined as

$$\prod_{i=1}^{n} X_{i} = X_{1} \times X_{2} \times \ldots \times X_{n} = \{(x_{1}, x_{2}, \ldots, x_{n}): x_{i} \in X_{i}, i=1,\ldots, n\}.$$

For any subsets  $U_i \subseteq X_i$  (i=1,...,n), define

 $U_1 \times U_2 \times ... \times U_n = \{(x_1, x_2, ..., x_n): x_i \in U_i, i=1,..., n\}.$ 

Note: We can define the product of any collection of sets.

## 4.1.1 Examples

a)  $\{1, 2\} \times \{a, b\} = \{(1, a), (1, b), (2, a), (2, b)\}.$ b)  $R \times R = \{(x, y): x, y \in R\} = R^2.$ 

If  $X_1, X_2, ..., X_n$  are topological spaces, we can define a topology on their Cartesian product set so that it becomes a topological space.

# 4.1.2 Lemma

Let  $(X_1, \tau_1), (X_2, \tau_2), \dots, (X_n, \tau_n)$  be topological spaces. The family

**B**={ 
$$U_1 \times U_2 \times ... \times U_n$$
:  $U_i \in \tau_i, i=1, 2, ..., n$ }

of subsets of  $X = X_1 \times X_2 \times ... \times X_n$  is the base of a topology on X. **Proof** 

**4.1.3 Definition** Let {  $(X_i, \tau_i)$ : i=1, 2, ..., n} be topological spaces.

The topology  $\tau$  on  $X = X_1 \times X_2 \times ... \times X_n$  generated by the base  $\mathbf{B} = \{ U_1 \times U_2 \times ... \times U_n : U_i \in \tau_i, i=1, 2, ..., n \}$  is called the **product topology** and the space  $(X, \tau)$  is called the Cartesian product of  $(X_i, \tau_i)$ 's.

**4.1.4 Example If**  $(X, \tau)$  and  $(Y, \upsilon)$  are topological spaces. Then  $\{U \times V : U \in \tau, V \in \upsilon\}$  is a base of the product topology on  $X \times Y$ .

Thus a subset M of X×Y is open if and only if for each (x, y) in M, there are open sets U and V in X and Y respectively such that  $x \in U$ ,  $y \in V$  and  $U \times V \subseteq M$ .

**4.1.5 Theorem** Let  $(X_1, \tau_1), (X_2, \tau_2), \dots, (X_n, \tau_n)$  be topological spaces. Assume that for each *i*,  $\mathbf{B}_i$  is a base of  $X_i$  (*i*=1, ..., n). Then  $\mathbf{B'} = \{ V_1 \times V_2 \times \dots \times V_n : V_i \in \mathbf{B}_i, i=1, 2, \dots, n \}$ 

is a base of the product topology. **Proof:** 

**4.1.6 Example** The real line R has a base consisting of open intervals, so the plane  $R^2=R \times R$  has a base consists of product of open intervals  $(a_1, b_1) \times (a_2, b_2)$ .

## 4.1.7 Example

A product of discrete spaces is discrete and a product of indiscrete spaces is indiscrete.

Let  $X_1, X_2, ..., X_n$  be sets. For each i (i=1, 2,..., n) the *projection* from  $X=X_1 \times X_2 \times ... \times X_n$  to  $X_i$ , denoted by

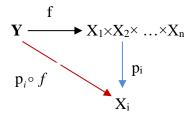
 $p_i: X_1 \times X_2 \times \ldots \times X_n \to X_i$ is defined by For example,  $p_i(x_1, x_2, \ldots, x_n) = x_i.$  $p_1: X_1 \times X_2 \times \ldots \times X_n \to X_1$  $P_1(x_1, x_2, \ldots, x_n) = x_1.$ 

#### 4.1.8 Example

 $p_1: \mathbb{R} \times \mathbb{R} \to \mathbb{R}$  sends (x, y) to \_\_\_\_\_, and  $p_2: \mathbb{R} \times \mathbb{R} \to \mathbb{R}$  sends (x, y) to \_\_\_\_\_

**4.1.9 Theorem** Let  $(X_1, \tau_1), (X_2, \tau_2), \ldots, (X_n, \tau_n)$  be topological spaces. For each *i*, the projection from the product space  $X_1 \times X_2 \times \ldots \times X_n$  to  $X_i$  is continuous. Proof (Exercise)

**4.1.8 Theorem** Let  $(X_1, \tau_1)$ ,  $(X_2, \tau_2)$ , ...,  $(X_n, \tau_n)$  be topological spaces and f:  $Y \rightarrow X$  a function from a space Y to the product space of  $X_i$ 's. Then f is continuous if and only if for each i, the composition function  $p_i \circ f$ :  $Y \rightarrow X_i$  is continuous.



Proof

**Note:** The above theorem shows that the product space has the initial topology with respect to the projection functions.

## 4.2 Quotient spaces

#### 4.2.1 Definition

Let  $(X, \tau)$  be a topological space and f:  $X \rightarrow Y$  be an onto function from X to a set Y. Then  $\tau_f = \{ V \subseteq Y : f^{-1}(V) \in \tau \}$  is a topology on Y, called the quotient topology induced on Y by f. In this case the space Y is called a quotient space of X and f is called the quotient function.

#### Exercise

Verify that  $\tau_f = \{ V \subseteq Y : f^{-1}(V) \in \tau \}$  is a topology.

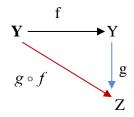
## 4.2.2 Remark

Every quotient function is a continuous function.

**4.2.3 Theorem** If X and Y are topological spaces and f:  $X \rightarrow Y$  is a continuous onto function. Then the topology on Y is the quotient topology  $\tau_f$  if f is either open or closed. Proof:

4.2.4 Example Let X=[0, 2π] with the usual topology, and Y={(x,y) ∈ R<sup>2</sup>: x<sup>2</sup>+y<sup>2</sup>=1} with its usual subspace topology. Define f: X→Y by f(x)=(cos x, sin y). Then f is continuous, closed and onto. So Y is a quotient space of X.

**4.2.5 Theorem** Let Y have the quotient topology induced by a function f from X onto Y. Then a function  $g: Y \to Z$  is continuous if and only if the composition  $g \circ f: X \to Z$  is continuous.



Proof.

### **Summary**

- A base of the product topology
- Each projection function from the product space is continuous
- Quotient topology induced by a onto function
- Properties of quotient space

# **Exercise 4**

1. (a) Show that each projection function from a product space is an open function.
(b) Let p<sub>1</sub>: R<sup>2</sup>→R be the projection to the ox-axis. Determine if p<sub>1</sub> is a closed function.

2. Show that if Y is a quotient space of X, and Z is a quotient space of Y, then Z is a quotient space of X.

3. Let A and B be subsets of spaces X and Y, respectively.

(a) Show that  $cl(A \times B) = cl(A) \times cl(B)$ .

(b) Show that  $A \times B$  is a closed set of the product space  $X \times Y$  iff A and B are closed sets of X and Y.

4. Let A and B be subsets of spaces X and Y, respectively. Show that  $int(A \times B)=int(A) \times int(B)$ .

5. Show that the function  $f: X \times Y \rightarrow Y \times X$  is an homeomorphism, where f(x,y)=(y, x) for each (x, y) in  $X \times Y$ .

6. Let X and Y be disjoint topological spaces and  $Z=X \cup Y$ . Let  $v=\{ U\subseteq Z: U\cap X \text{ is open in } X \text{ and } U\cap Y \text{ is open } Y \}$ . Show that v is a topology on Z. [The space Z is called the sum of X and Y]

7. Spaces of closed sets.

For any topological space X, let  $\Gamma(X)$  be the set of all non-empty closed subsets of X. For any open sets  $U_1, U_2, ..., U_n$  of X, let

 $\mathbf{V}(\mathbf{U}_1, \mathbf{U}_2, ..., \mathbf{U}_n) = \{ \mathbf{B} \in \Gamma(\mathbf{X}) \colon \mathbf{B} \subseteq \bigcup_{i=1}^{i=n} U_i \text{ and } \mathbf{B} \cap \mathbf{U}_i \neq \mathfrak{A} \text{ for each } i \}.$ 

Show that all  $V(U_1, U_2, ..., U_n)$  form a base of a topology on  $\Gamma(X)$ ; this topology is called the Vietoris topology on  $\Gamma(X)$ .

# **Chapter five:** Axioms of separation

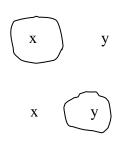
Outline:

- T<sub>0</sub>, T<sub>1</sub> and T<sub>2</sub> spaces
- Convergence in topological space
- Regular spaces
- Normal spaces

# 5.1 T<sub>0</sub>, T<sub>1</sub> and T<sub>2</sub> spaces

# **5.1.1 Definition**(T<sub>0</sub> space )

A topological space X is a  $T_0$  space if for any **two distinct points** x and y in X, there exists an open set containing one and not another.



or

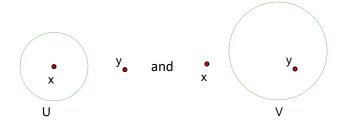
# 5.1.2 Example

- a) Every discrete space is  $T_0$ . A indiscrete space containing more than one point is NOT  $T_0$ .
- b) The Sierpinski space  $X=\{0,1\}$  is a  $T_0$  space.
- c) The real line R is a  $T_0$  space.

For any two different points a and b( assume a < b), the open set U=(a-1, b) contains a but not b.

# **5.1.3 Definition**(T<sub>1</sub> space)

A topological space X is a  $T_1$  space if for any two distinct points x and y in X, there is an open set U containing x but not y **and** an open set V containing y but not x.



## 5.1.4 Example

- a) Every  $T_1$  space is  $T_0$ .
- b) The Sierpinski space  $X=\{0, 1\}$  is a  $T_0$  space but not  $T_1$ . There is no open set U containing 0 but not 1.

**Exercise** Prove that if X is a  $T_1$  space and A is a subspace of X, then A is  $T_1$ .

# **5.1.5 Theorem**(Properties of T<sub>1</sub> spaces)

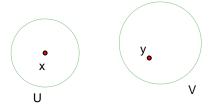
- a) A space X is  $T_1$  iff  $cl({x})={x}$  for any point x in X.
- b) Every subspace of a  $T_1$  space is  $T_1$ .

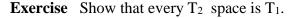
c) The product space of two  $T_1$  spaces is  $T_1$ .

# **Proof:**

## **5.1.6 Definition**(T<sub>2</sub> spaces )

A space X is a T<sub>2</sub> space (or Hausdorff space) if for any two distinct points x and y in X, there exist **disjoint open sets** U and V such that  $x \in U$  and  $y \in V$ .





## 5.1.7 Example

a) The real line R is  $T_2$ .

If a < b, then the open sets U=(a-1, (a+b)/2) and V=((a+b)/2, b+1) satisfy the requirement.

b) If (X, d) is a metric space, then for any two distinct points x and y, U=B(x, a), V=B(y, a) are disjoint open sets containing x and y respectively, where a=1/2d(x, y). Thus every metric space is T<sub>2</sub>.

## 5.1.8 Example

Let X=N with the finite complement topology. Then X is T<sub>1</sub> but not T<sub>2</sub>. For example, if U is an open set containing x=1 and V be an open set containing y=2. Then X-U and X-V are finite sets, so  $X-(U\cap V)=(X-U)\cup(X-V)\neq X$ , hence  $U\cap V\neq \infty$ .

**5.1.9 Theorem** If f:  $X \rightarrow Y$  is a continuous function and Y is Hausdorff, then { (x, y): f(x)=f(y) } is a closed subset of  $X \times Y$ .

#### 5.2 Convergence in topological spaces

## 5.2.1 Example

Let N be the set of all natural numbers and let  $\leq$  be the ordinary order of numbers. Then the relation  $\leq$  is

- i) reflexive ( for any n,  $n \le n$  ),
- ii) transitive ( $n \le m, m \le k$  imply  $n \le k$ ), and
- iii) directed ( for any two members m and n in N, there is k such that n,  $m \le k$ ).

b) Let X be a set and D is the set of all finite subsets of X. Then  $(D, \subseteq)$  is a directed set.

Let D be a set. We say the set D is directed by relation  $\leq$  ( or (D,  $\leq$ ) is a directed set ) If the following conditions are satisfied:

- i)  $x \le y \le z$  imply  $x \le z$ ; (transitive)
- ii) for any x in D,  $x \le x$ ; (reflexive)
- iii) for any x, y in D, there is z in D such that  $x \le z$  and  $y \le z$ . (directed )

# 5.2.2 Example

- a) Let X be a set and D be the set of all finite subsets of X. Then (D, ⊆) is a directed set.
- b) Let x be a point of a topological space X. The neighbourhood system N(x) of x is a directed set with respect to the inverse inclusion relation  $\supseteq$ .
- c) The set of all partitions of [0, 1] is a directed set, where  $D_1 \leq D_2$  for two partitions iff  $D_2$  is finer than  $D_1$  (  $D_2$  has more partition points ).
- d) Let  $X = \{1\}$  and define  $1 \le 1$ . Then  $(X, \le)$  is a directed set.

# **5.2.3 Definition**(Net and sequence)

A **net** in a topological space X is a function from a directed set  $\Sigma$  into X. We shall use  $S = \{x_{\sigma} : \sigma \in \Sigma\}$  (or  $\{x_{\sigma}\}$ ) to denote a net in X), where  $\Sigma$  is called the index set of the net. If  $\Sigma = N$ , then the net is called a **sequence**.

# **5.2.4 Definition**(Convergence of nets)

A net  $S = \{x_{\sigma} : \sigma \in \Sigma\}$  in a space X is said to **converge** to a point x in X (or x is a limit of S) if for each neighbourhood U of x, there is a  $\sigma_0 \in \Sigma$ , such that  $x_{\sigma} \in U$  holds for all  $\sigma \geq \sigma_0$ . We write  $x_{\sigma} \to x$  (or  $S \to x$ ) to denote the net S converges to x.

The set of all limits of S is denoted by lim S.

A point x is called a cluster point of a net  $S = \{x_{\sigma} : \sigma \in \Sigma\}$ , if for each neighbourhood U of x and each  $\sigma_0 \in \Sigma$ , there exists  $\sigma \ge \sigma_0$ , such that  $x_{\sigma 0} \in U$ .

# 5.2.5 Example

a) Let  $x_n=1-\frac{1}{n}$ , for each n in N. Then  $x_n \rightarrow 1$  in R.

b) Let  $X=\{0, 1\}$  be the Sierpinski space. The net  $\{x_1: 1 \in \{1\}\}$  converges to both point 0 and 1.

So the limits of a net **need not be unique**.

# Exercise

Show that a point x is in cl(A) iff for any neighbourhood U of x, U $\cap A$  is non-empty.

# **5.2.3 Theorem** A point x is in cl(A) iff there is a net in A that converges to x.

# **Proof:**

**5.2.4 Theorem**(Net characterization of Continuous functions) A function f:  $X \rightarrow Y$  between two topological spaces is continuous iff for any net  $S = \{x_{\sigma} : \sigma \in \Sigma\}$  in X,  $S \rightarrow x$  in X implies  $f(S) \rightarrow f(x)$  in Y, where  $f(S) = \{f(x_{\sigma}) : \sigma \in \Sigma\}$ .

**Proof:** 

**5.2.5 Theorem** (Property of hausdorff spaces) A topological space X is a Hausdorff space if and only if every net in X converges to **at most one point**.

## **Summary**

- A topological space X is a T<sub>0</sub> space if for any two points x and y,
- A topological space X is a T<sub>1</sub> space if for any two points x and y, \_\_\_\_\_
- A topological space X is a T<sub>2</sub> space if for any two points x and y,

- The product of  $T_0(T_1, T_2)$  spaces is  $T_0(T_1, T_2)$ . The converses are also true.
- A function f: X→Y between two topological spaces is continuous iff for any net S in X, S →x in X implies f(S) →f(x) in Y.
- A space X is  $T_2$  if and only if for any net S in X,  $S \rightarrow x$  and  $S \rightarrow y$  imply

## **Exercise 5**

- 1. Show that the product  $X \times Y$  of spaces X and Y is  $T_2$  if and only if both X and Y are  $T_2$ .
- 2. Show that a space X is Hausdorff iff the set diagonal  $\Delta = \{(x, x): x \in X \}$  is a closed set of the Cartesian product X×X.
- 3. The Zariski topology For a polynomial P in n variables, let

 $K(P) = \{ (x_1, ..., x_n) \in \mathbb{R}^n : P(x_1, ..., x_n) \neq 0 \}.$ 

- a) Show that {K(P): P is a polynomial in n variables } is a base of a topology on R<sup>n</sup>. The corresponding topology is called the Zariski topology.
- b) Show that the Zariski topology is T<sub>1</sub>.
- c) Describe the Zariski topology on R. Is it T<sub>2</sub>?
- 4. Let X=R and  $\tau = \{ (a, +\infty) : a \in \mathbb{R} \text{ or } a = -\infty \}.$ 
  - a) Show that  $\tau$  is a topology.
  - b) Which separation axioms does  $(X, \tau)$  satisfy?
  - c) Find a sequence in X that converges to infinite different points.
- 5. Show that a subspace of a  $T_2$  space is  $T_2$ .

## 6.

- a) Let f, g: X → Y be continuous functions and Y be a T<sub>2</sub> space, then {x | f(x)=g(x) }
   is a closed set of X.
- b) A subset A of space X is a dense set if cl(A)=X ( or A is dense in X ).
   Use a) to deduce that if f, g: X → Y are continuous functions and Y is a T<sub>2</sub> space such that f(x)=g(x) for all x in a dense subset A of X, then f=g.

7. Let X be a T<sub>0</sub> space. Define  $x \le y$  for x, y in X if  $x \in cl(\{y\})$ . Prove each of the following statements:

- i)  $x \le x$  for all x in X (reflexive);
- ii)  $x \le y \le z$  imply  $x \le z$  (transitive);
- iii)  $x \le y$  and  $y \le x$  imply x = y (antisymmetric).

\* A binary relation  $\leq$  on a set X satisfying the above three conditions is called a partial order X. The partial order proved above is called the **specialization order** on space X.

# 5.3 Regularity and complete regularity

**5.3.1 Definition**(Regular space) A topological space X is a **regular space** if for any closed set A and point x with  $x \notin A$ , there are disjoint open sets U and V such that  $x \in U$  and  $A \subseteq V$ . A T<sub>1</sub> regular space is called a T<sub>3</sub> space.



#### Remark

1) A regular space need not be  $T_1$ . For example, every indiscrete space is regular.

2) Every T<sub>3</sub> space is T<sub>2</sub>. This is because for each point y in a T<sub>1</sub> space,  $A=cl(\{y\})=\{y\}$ .

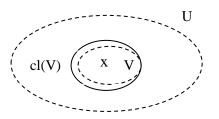
### Exercise

Let X be a regular space. Show that if A is a closed set which is disjoint from  $B = \{b_1, b_2, ..., b_n\}$ , then there are disjoint open sets U and V containing A and B respectively.

**5.3.2 Theorem** The followings are equivalent for a topological space X.

a) X is regular.

b) If U is an open set with  $x \in U$ , then there is an open set V such that  $x \in V \subseteq cl(V) \subseteq U$ .



Proof

# 5.3.3 Theorem

a) Every subspace of a regular space is regular.b) The product X×Y of two spaces is regular if and only if both X and Y are regular.Proof

Let I=[0, 1] denote the closed unit interval of real numbers with its usual topology.

**5.3.4 Definition**(Completely regular space) A topological space X is **completely regular** iff for any closed set A and  $b \notin A$ , there is a continuous function f:  $X \rightarrow I=[0,1]$  such that f(b)=0 and  $f(A)=\{1\}$ . A T<sub>1</sub> completely regular space is called a **Tychonoff** space ( or  $T3\frac{1}{2}$  space ). **Remark** In the definition of complete regular spaces, we can change the condition into: There is a continuous f: X $\rightarrow$ R such that f(A)={a}, f(x)=b and  $a \neq b$ .

### Exercise

Show that every complete regular space is regular.

# 5.3.5 Example

Let (X, d) be a metric space, A be a closed set and  $b \notin A$ . Define f: X $\rightarrow$ R by f(y)=d(y, A) for each y in X (see Exercise 6.3).

Then  $f(A)=\{0\}$  and  $f(x)\neq 0$ . Thus every metric space is completely regular.

In particular,  $\mathbf{R}$ ,  $\mathbf{R}^2$  and  $\mathbf{R}^n$  are completely regular.

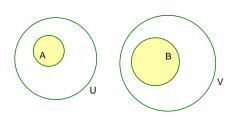
# 5.3.6 Theorem

a) Every subspace of a completely regular space is completely regular.

b) The product of two topological spaces is completely regular iff each factor space is completely regular.

### 5.4 Normal spaces

**5.4.1 Definition**(Normal space) A topological space X is **normal** if for any *two disjoint closed* sets A and B in X, there are disjoint open sets U and V with  $A \subseteq U$  and  $B \subseteq V$ . A normal  $T_1$ -space is called a  $T_4$  space.



### 5.4.2 Examples

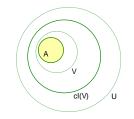
- (a) Every discrete space is normal.
- (b) Let A and B be disjoint closed sets in a metric space (X, d). For each x in A and y in B choose  $\delta_x$  and  $\delta_y$  with U(x,  $\delta_x$ )  $\subseteq$  X B and U(y,  $\delta_y$ )  $\subseteq$  X A.

Let  $U = \bigcup \{ U(x, \frac{\delta_x}{3}) : x \in A \}$  and  $V = \bigcup \{ U(y, \frac{\delta_y}{3}) : y \in B \} \}.$ 

Then U and V are disjoint open sets with  $A \subseteq U$  and  $B \subseteq V$ . (Exercise) Thus every metric space is normal.

In particular, **R**,  $\mathbf{R}^2$ , and  $\mathbf{R}^n$  are all normal spaces.

**5.4.3 Remark** A topological space X is normal if for any closed sets A and open set U containing A, there is an open set V,  $A \subseteq V \subseteq cl(V) \subseteq U$ .



# 5.4.4 Urysohn's Lemma

A space X is normal iff for any two disjoint closed sets A and B in X, there is a continuous function  $f: X \rightarrow [0, 1]$  such that  $f(A) = \{0\}$  and  $f(B) = \{1\}$ . **Proof:** 

# 5.4.5 Tietze's extension theorem

A space X is normal iff for any closed set and continuous  $f: A \rightarrow R$ , there is an *extension* of f on X; that is there is a continuous  $F: X \rightarrow R$  such that F(x)=f(x) for all x in A.

# 5.4.6 Remarks

- (a) A subspace of a normal space need not be normal.
- (b) A product of two normal spaces need not be normal.

# Summary

- Regular space, T<sub>3</sub> space
- Completely regular space, Tychonoff space
- Normal space, T<sub>4</sub> space
- Uryson's lemma
- Tiez's extension theorem

# **Exercise 6**

- 1.
- a) Show that the real line **R** with the usual topology is regular.
- b) Show that every metric topology is regular.

2. Let X be a regular space. Show that for each closed set A, A is the intersection of all open sets containing A.

Is the converse conclusion true?

- 3. Verify the Example 5.4.2 (b).
- 4. Show that every  $T_4$  space is  $T_3$ .
- 5. Prove Remark 5.4.3.
- 6. Show that every closed subspace of a normal space is normal.
- 7. Show that if X is regular, then for any point x and closed set A that does not contain x, there are disjoint open sets U and V containing x and A respectively and  $cl(U)\cap cl(V)=\infty$ .

[Hint: Use Theorem 5.3.2 b) ]

8.\*(Optional) A topological space X is called **completely Hausdorff** if for any two distinct points x and y in X, there is a continuous function f:  $X \rightarrow I=[0, 1]$  such that f(x)=0 and f(y)=1.

- (a) Show that every completely Hausdorff space is Hausdorff.
- (b) Is every subspace of a completely Hausdorff space a completely Hausdorff space?

# Chapter six: Countability properties

# Outline

- First countable spaces
- Second countable spaces
- Separable spaces
- Lindelöff spaces

In this chapter we study some topological properties which are defined by means of countable families of sets.

# **6.1 First countable spaces**

Recall that for a point x in a space X, N(x) denotes the set of all neighbourhoods of x. A <u>neighbourhood base</u> of x is a subset **B** of N(x) such that for each U in N(x) there is V in **B** so that V is contained in U.

**6.1.1 Definition** (First Countablility ) A space X is called <u>first countable</u> (C1 space) if every point in X has a countable neighbourhood base.

# 6.1.2 Examples

- (a) The real line **R** with the ordinary topology is first countable.
- (b) Every metric space is first countable.

# 6.1.3 Example

Let X be a non-countable set. Then X with the **finite complement** topology is not first countable.

**6.1.4 Proposition** Every *subspace* of a first countable space is first countable.

A base **B** for a topological space  $(X, \tau)$  is a collection **B** of open sets, such that every member U of  $\tau$  is a union of some members of **B**.

**6.1.5 Definition** A space X is second countable (C2 space ) if it has a countable base (i.e. there is a base  $B = \{ U_i : i \in N \}$  consisting of countable number of members).

### 6.1.6 Example

- (a) The real line **R** is second countable. The set **B**={ (r, s): r < s are rational numbers } is a countable base of **R**.
- (b) Let X be a non-countable set and X have the discrete topology, then X is not second countable.

If there is an surjective (open) continuous mapping  $f: X \rightarrow Y$  from the space X onto space Y, then Y is called a continuous (open) image of X.

\_\_\_\_\_

# [Optional]

### 6.1.7 Theorem

- (1) A continuous open image of a second countable space is second countable.
- (2) Every subspace of a second countable space is second countable.
- (3) The product of two second countable spaces X and Y is second countable.

-----

### 6.2 Separable spaces

# 6.2.1 Definition

A subset A of a topological space X is called a dense set if cl(A)=X.

**6.2.2 Proposition** A subset A is dense in X iff for any nonempty open set U of X,  $A \cap U \neq \emptyset$ .

### 6.2.3 Example

- (a) The set Q of all rational numbers is dense in the real line  $\mathbf{R}$ .
- (b) The set  $\mathbf{R} \mathbf{Q}$  (of all irrational numbers) is also dense in  $\mathbf{R}$ .

# 6.2.4 Definition

A topological space X is separable iff X has a **countable dense subset**.

### 6.2.5 Example

(1) The real line  $\mathbf{R}$  is separable.

(2) If X is a non-countable set and X has the discrete topology, then X is not separable.

### 6.2.6 Theorem

a) The continuous image of a separable space is separable.

b) An open subspace of a separable space is separable.

# 6.3 Lindelöff spaces

A collection  $U=\{U_j: j \in J\}$  of open sets of a space X is called an <u>open cover</u> if the union of all  $U_j$ 's equals X, i.e. if

$$X = \bigcup \{ U_i : j \in J \}.$$

If U contains countable U<sub>i</sub>, U is called a countable cover.

A subcover  $\mathbf{U}^{\circ}$  of  $\mathbf{U}$  is a subcollection of  $\mathbf{U}$  which is also a cover of  $\mathbf{X}$ .

**6.3.1 Definition** A space X is called a Lindelöff space if every open cover of X has a *countable subcover*.

**6.3.2 Proposition** Every closed subspace of a Lindelöff space is Lindelöff. [Exercise ]

6.3.3 Theorem A regular, Lindelöff space is a normal space.

#### **Summary**

- A topological space is first countable if every point has a \_\_\_\_\_
- For example, \_\_\_\_\_ are first countable.
- A topological space is second countable if it has a \_\_\_\_\_
- Every second countable space is \_\_\_\_\_
- The product of \_\_\_\_\_ spaces is \_\_\_\_\_
- A subspace of a \_\_\_\_\_ space is \_\_\_\_\_
- A space X is a Lindelöff space if \_\_\_\_\_\_

• A \_\_\_\_\_ and \_\_\_\_\_ is normal.

### **Exercise 7**

- 1. Show that the product  $X \times Y$  of spaces X and Y is first countable iff both X and Y are first countable.
- 2. Prove that every second countable space is first countable. [Hint: Let **B** be a base of X. For each x in X, consider  $B_x = \{U \in B : x \in U\}$ ]
- 3. Show that a subset A of X is dense in X iff for any nonempty open set U in a base **B** of X,  $A \cap U \neq \emptyset$ .
- 4. Show that a discrete space X is separable iff X is a countable set.
- 5. Let X be second countable and  $\mathbf{B} = \{U_i : i \in N\}$  be a countable base of X. Show that X is separable.
- [Hint: Choose a point  $b_i$  from each  $U_i$ , then consider the subset  $A = \{b_i: i \in N\}$ ]

6. Show that the product  $X \times Y$  of two separable spaces is separable.

Is the converse also true?

[Hint: Let A and B be countable dense subsets of X and Y. Show  $A \times B$  is dense in the product space ]

7. Show that if X is second countable, then it is Lindelöff.

[Hint: Let B be a countable base for X. Suppose U is any open cover of X. For each U in U and x in U, choose some  $B_{x, U}$  in B such that  $x \in B_{x, U} \subseteq U$ . Then  $B'=\{B_{x, U} : x \in U, U \in U\}$  is countable because it is a subset of B. Assume  $B'=\{B_{x1, U1}, B_{x2, U2}, \dots\}$ . Show {U1, U2,...} is a subcover of U ]

# Chapter 7: Compactness

Outline:

- Definition and examples
- Tychonoff Theorem
- Continuous functions on compact spaces

### 7.1 Definition (Compact space)

A topological space X is compact if every open cover of X has a finite subcover.

### 7.2 Example

1) The family  $U=\{(n, +\infty): n=0, -1, -2, ...\}$  is an open cover of real line **R**, but it has no finite subcover. Thus **R** is not compact.

2) The subspace I=[0,1] of R is compact. In fact, if  $\mathscr{U}$  is an open cover of I. Let K be the set of all points c such that a finite subcover of  $\mathscr{U}$  covers [0, c]. Then 0 is in K and if d < c and c is in K then d is in K. Thus K is an interval. If K=[0, c], then c must equal 1. In fact, assume c<1 we can choose a member U of  $\mathscr{U}$  that contains c, then there is  $\varepsilon > 0$  such that  $c \in (c - \varepsilon, c + \varepsilon) \subseteq U$ . Since [0, c] is covered by finite number members of  $\mathscr{U}_{\epsilon}[0, c + \frac{1}{2}\varepsilon]$  is also covered by finite number of members of U, so  $c + \frac{1}{2}\varepsilon$  is also in K, which contradicts that K=[0, c]. On the other hand, if K=[0, c), let c be contained in a member  $U_c$  of  $\mathscr{U}$  and  $c \in (c - \varepsilon, c + \varepsilon) \subseteq U_c$ , then  $[0, c - \frac{1}{2}\varepsilon]$  ils covered by finite numbers of members of  $\mathscr{U}_{\epsilon}$ , which implies c is in K), so [0, c] is also covered by finite numbers of members of  $\mathscr{U}_{\epsilon}$ , which implies c is in K, a contradiction. All these show that K=[0,1]=I, that is I is covered by a finite number of members of  $\mathscr{U}_{\epsilon}$  So I is compact.

3) The subspace E = (0, 1) of I is not compact. The open cover

$$\mathcal{U} = \{ (\frac{1}{n}, 1 - \frac{1}{n}) : n = 1, 2, 3 \dots \}$$

of E does not have a finite subcover.

Thus a subspace of a compact space need not be compact.

4) Every indiscrete space is compact. A discrete space X is compact iff X is a finite set.

**7.3 Definition** A family  $\mathbf{E}$  of subsets of X has the <u>finite intersection property</u> if the intersection of any finite numbers of members of  $\mathbf{E}$  is nonempty.

# 7.4 Example

- (1) The family  $\{(\mathbf{r}, \infty): \mathbf{r} \in \mathbf{R}\}$  has the finite intersection property.
- (2) The family { A: A is a subset of N and N-A is finite} has the finite intersection property.
- (3) The family { (r, s): r< s and r and s are rational numbers } does not have the finite intersection property.</p>

Recall that a **net**  $S = \{x_{\sigma} : \sigma \in \Sigma\}$  in a topological space X is a function from a directed set  $\Sigma$  into X. A point x is called a cluster point of a net  $S = \{x_{\sigma} : \sigma \in \Sigma\}$ , if for each neighbourhood U of x and each  $\sigma_0 \in \Sigma$ , there exists  $\sigma \ge \sigma_0$ , such that  $x_{\sigma 0} \in U$ .

7.5 Theorem For a topological space X, the following statements are equivalent.

- (1) X is compact.
- (2) Every family E of closed subsets of X with the finite intersection property has a nonempty intersection.
- (3) Every net in X has a cluster point.

A subset A of a topological space is called a <u>compact subset</u> of X if the subspace A is compact. A subset A of X is compact iff any open cover of A has a finite subcover.

# 7.6 Theorem

- (1) Every closed subset of a compact space is compact.
- (2) A compact subset of a Hausdorff space is a closed set.

Proof:

- 7.7 Corollary A subset B of the real line R is compact iff B is a bounded (i.e.
  - $B\,\subseteq\,[\text{-n},\,n]$  for some positive number n ) closed subset.

Recall that if there is an onto continuous function f:  $X \rightarrow Y$ , then Y is called a continuous image of X.

**7.8 Theorem** The continuous image of a compact space is compact.

Proof:

7.9 Theorem (Tychonoff ) The product of topological spaces is compact

iff each factor space is compact.

Let X be a Hausdorff space and A, B be closed subsets of X. Then both A and B are compact subsets of X by Theorem 6.6.

By Exercise 8.2, there are disjoint open sets containing A and B respectively. So we have

7.10 Theorem Every compact Hausdorff space is normal.

Recall that in calculus we learned that every continuous function f:  $[0, 1] \rightarrow R$  is bounded and f achieves its maximal and minimal values at some points. The following is a more general result.

7.11 Theorem Every continuous real function defined on a compact space is bounded.

# Summary

- A topological space X is compact if every open cover of X has a \_\_\_\_\_\_
- X is compact iff every net in X has a \_\_\_\_\_
- X is compact iff every family of closed with the \_\_\_\_\_ property has

\_\_\_\_\_ intersection

- Closed subsets of compact space are \_\_\_\_\_\_
- Ever compact subset of a Hausdorff space is \_\_\_\_\_

- The product of spaces is compact iff each \_\_\_\_\_
- Every Hausdorff compact space is \_\_\_\_\_
- Every continuous real function on a compact space is \_\_\_\_\_

# **Exercise 8**

1. Let X be a Hausdorff space. Prove that for any compact subset A of X and a point x not in A, there are disjoint open sets U and V such that U contains x and V contains A.

2. Let A and B be two disjoint compact subsets of a Hausdorff space X. Show that there are disjoint open sets U and V containing A and B respectively.

3. Let  $A \times B$  be a compact subset of  $X \times Y$  contained in an open set W of  $X \times Y$ . Show that there are open sets U of X and open sets V of Y such that  $A \times B \subseteq U \times V \subseteq W$ .

4. Show that a subset of  $R^2$  is compact iff it is closed bounded.

5. Prove Theorem 6.11.

[Hint: Let f: X  $\rightarrow$  R. Consider the open cover { f<sup>-1</sup>(-n, n): n \in N }]

6. Let A and B be two compact subsets of a Hausdorff space X.

- (a) Show that  $A \bigcup B$  is compact.
- (b) Show that  $A \cap B$  is compact.

# Chapter 8: Connectedness of topological spaces

Outline

- Definition, examples and basic properties
- More properties
- Some applications

# 8.1 Connected spaces

Consider the subspaces of real line R: X=[0, 1],  $Y=[0, 1/2) \cup (1/2, 1]$ Are the subspaces X and Y of R homeomorphism ?

That is, is there a bijection  $f: X \to Y$  such that both f and  $f: Y \to X$  are continuous?

The space Y can be expressed the union of two **disjoint**, **non-empty open subsets** (closed sets).

But X cannot be expressed as the union of two disjoint, non-empty open subsets.

# 8.1.1 Definition

A topological space X is called connected if there are no closed subsets F and E such that

(i)  $X=F \cup E$ ;

(ii)  $F \cap E = \emptyset$ ;

(iii) F and E are non-empty.

# 8.1.2 Example

- (1) The real line  $\mathbf{R}$  is connected. (See Appendix 1 for the proof.)
- (2) The subspace I=[0, 1] of **R** is connected.
- (3) Every indiscrete space is connected, as it has only one non-empty closed set.

### 8.1.3 Example

(1) The subspace  $Y=[0, 1] \cup [3, 4]$  of **R** is not connected. This is because  $F=[0, 1] = Y \cap [-1, 2]$  and  $E=[3, 4] = Y \cap [2, 5]$  are non-empty, disjoint closed sets of Y and  $Y = F \cup E$ .

(2) The subspace Q of R consisting of all rational numbers is not connected.

#### **Exercise:**

Express Q as the union of two disjoint, non-empty closed sets.

### 8.1.4 Remark

A subspace X of the real line  $\mathbf{R}$  is connected if and only if it is an interval (finite or infinite)

**8.1.5 Lemma** Let X be a topological space. Then the following statements are equivalent:

- 1) X is not connected.
- 2) X is the union of two disjoint, non-empty open sets.
- 3) There is a non-empty, proper subset that is both closed and open.

### 8.1.6 Definition

A subset A of a topological space X is called a connected subset of X, if A is connected with respect to the subspace topology.

#### 8.1.7 Example

- (a) Q is not a connected subset of R.
- (b) Every closed interval [a, b] is a connected subset of R.
- (c) The square  $[0, 1] \times [0, 1] = \{ (x, y): 0 \le x, y \le 1 \}$  is a connected subset of  $\mathbb{R}^2$

### 8.2 More properties

#### 8.2.1 Proposition

If  $f: X \rightarrow Y$  is a continuous function and X is connected, then f(X) is a connected subset of Y.

### **Proof** We prove by contradiction.

Assume that f(X) is not connected. There are open sets U, V of Y such that  $f(X)=(U\cap f(X)) \cup (V\cap f(X),$  $U'= \cup \cap f(X)$  and  $V'= V\cap f(X)$  are non-empty and disjoint.

Now  $X=f^{1}(U'UV')$   $=f^{1}(U')Uf^{1}(V')$   $=f^{1}(U\cap f(X))Uf^{1}(V\cap f(X))$   $=[f^{1}(U)\cap f^{1}(f(X))]U[f^{1}(V)\cap f^{1}(f(X))]$   $=f^{1}U)\cap XUf^{1}(V)\cap X$  $=f^{1}(U)Uf^{1}(V).$ 

Note that f<sup>-1</sup> (f(X) )=X

 $f^{1}(U)$  and  $f^{1}(V)$  are open sets as f is continuous, they are non-empty and disjoint.

This contradicts the assumption that X is connected. Hence f(X) must be connected.

#### 8.2.2 Corollary

Let X and Y be connected spaces. For any a in X,  $\{a\} \times Y$  is a connected subset of  $X \times Y$ .

Similarly,  $X \times \{b\}$  is connected for any b in Y.

**8.2.3 Theorem** If X and Y are connected, then the product space  $X \times Y$  is connected.

Sketch of the proof:

(i) For any points A=(x, y), B=(x', y'), if they have a common component, then there is a connected subset

C(A, B) of the product space containing them

(ii) Fixed a point A = (a, b). For any B = (z, w), let D = (b, z). Then C(A, D) and C(D, B) are disjoint connected sets. Then  $C(A, D) \cup C(D, B)$  (denote it by F(A, B)) is a connected set containing A and B. (iii) Now  $X \times Y = \bigcup \{ F(A, B) : B \text{ is an arbitrary point in } X \times Y \}$ , is connected by Lemma 2.1

By Induction, we can show the product of any finite number of connected spaces is connected.

# 8.2.4 Corollary

(1)  $\mathbb{R}^2$  and any  $\mathbb{R}^n$  are connected spaces. (2) The square  $[0, 1] \times [0, 1] = \{ (x, y): 0 \le x, y \le 1 \}$  is connected.

(2) The square  $[0, 1] \land [0, 1] = \{(x, y), 0 \le x, y \le 1\}$  is contained.



(3) The cub  $[0, 1]^3$  is connected.



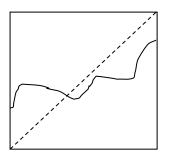
### 8.3 Some applications

**8.3.1 Theorem** (Intermediate Value Theorem)

If  $f: [0, 1] \to \mathbf{R}$  is a continuous function, and *m* is a number between f(0) and f(1), then there is a  $c \in [0, 1]$  such that f(c) = m.

**8.3.2 Theorem** (Fixed point Theorem )

If f:  $[0, 1] \rightarrow [0, 1]$  is a continuous function, then f has a fixed point, that is there is  $x_0$  in [0, 1] such that  $f(x_0) = x_0$ .



There are hundreds different fixed points theorems. The one we proved just now for [0, 1] is called the Brouwer fixed point theorem, named after Luitzen Brouwer. There are many other proofs for this theorem.

The fixed point theorem also true for any closed convex set of  $R^{n}$ 

### See http://en.wikipedia.org/wiki/Brouwer fixed point theorem for more about this.

# **Appendix:**

The real line  $\mathbf{R}$  is connected.

# Proof:

Assume that **R** is not connected. Then  $\mathbf{R}=A \cup B$  where A and B are non-empty and disjoint closed sets of **R**. Choose *a* in A and b in B. Then *a* and *b* are different points, assume that a < b. Let  $A' = A \cap [a, b]$ ,  $B' = B \cap [a, b]$ . Then A' has an upper bound (e.g. B), so A' has a supremum, say b'. It can be shown that b' is in the closure of A', so b' must be in A' (as A' is closed). Then b' < b (otherwise b=b' is in both A and B). Also (b', b] must be contained in B (otherwise there is a d in (b', b] which is in A', contradicting the assumption of b'). But then cl((b', b])=[b', b] is contained in B', implying b' is in B'. Then  $A \cap B$  is non-empty, a contradiction.

Here we use the property of real numbers: Every upper (lower) bounded subset has a supremum (infimum).

# Summary

A topological space X is connected if \_\_\_\_\_\_\_

• If f:  $X \rightarrow Y$  is a continuous mapping and X is connected then \_\_\_\_\_

The product of \_\_\_\_\_\_ spaces is \_\_\_\_\_\_

• A subset A of the real line R is connected if and only if \_\_\_\_\_

### Exercise 9

- Let X be a discrete space. Show that X is connected iff X contains just one element.
   [Hint: If X has more than one point, then X= {a} ∪ (X- {a}).]
- 2. Show that if A and B are connected subsets of a topological space X such that  $A \cap B \neq \infty$  then AUB is connected.
- 3. (The Intermediate Value Theorem for connected spaces) Let X be connected and f: X → R be a continuous real valued function. Assume that a, b are points in X such that f(a) < m < f(b). Show that there is a point c in X such that f(c) = m. [Hint: Every connected subset of R is an interval.]
- 4. Prove that a subspace X of the real line  $\mathbf{R}$  is connected if and only if it is an interval (finite or infinite).
- 5. Show that if A is a connected subset of X, then cl(A) is also connected. If cl(A) is connected, can we deduce that A must be connected?